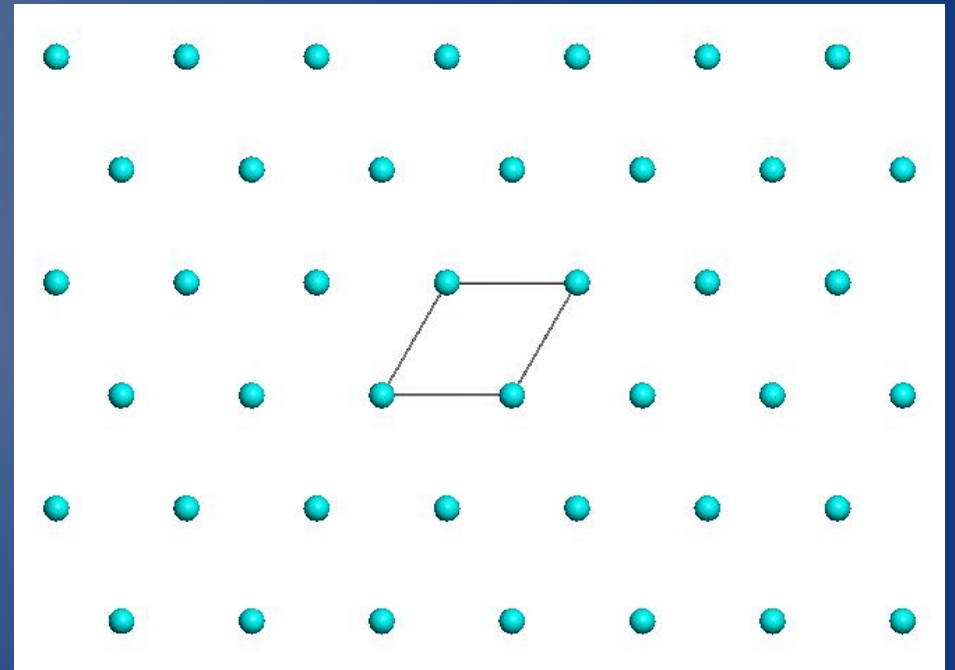


Crystal Systems and Space Groups

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Lattices

- Lattices are a regular array of points
- We use **basis vectors** to describe the lattice
- The choice of basis vectors is not unique
- We choose the set of basis vectors which reflects the symmetry present in the lattice
- Transforming from one set of basis vectors does not change the lattice only our description of it



The Unit Cell

- Any 3 non-coplanar lattice translations may be used to define a unit cell (basis vectors)
- The basis vectors are referred to as **a**, **b**, **c**
- The angles between the vectors are referred to as α , β , γ

Primitive and Non-Primitive Unit Cells

- If the basis vectors are the 3 shortest lattice translations or if they describe a cell of equal volume, the lattice is referred to as a “primitive” lattice
- Lattice symbol is **P**
- A primitive lattice has one lattice point per unit cell
- “Non-primitive” lattices have more than one lattice point per unit cell

Non-primitive Lattice Translation Vectors

Designation	Extra lattice point(s)	Mnemonic Device	Centring Vector
A	bc face	Abc	$(0, \frac{1}{2}, \frac{1}{2})$
B	ac face	aBc	$(\frac{1}{2}, 0, \frac{1}{2})$
C	ab face	abC	$(\frac{1}{2}, \frac{1}{2}, 0)$
F	each face centre		$(0, \frac{1}{2}, \frac{1}{2}); (\frac{1}{2}, 0, \frac{1}{2}); (\frac{1}{2}, \frac{1}{2}, 0)$
I	body centre		$(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$
R		(hexagonal setting)	$(\frac{2}{3}, \frac{1}{3}, \frac{1}{3}); (\frac{1}{3}, \frac{2}{3}, \frac{2}{3})$

- Choosing a non-primitive vs. primitive lattice is a matter of convention and observable symmetry
- It is always possible to choose a primitive triclinic lattice
- Choose the lattice and crystal system which conforms to the observable symmetry

Different Unit Cells

- Buerger Cell: a unit cell comprised of the 3 shortest non-coplanar lattice vectors
- Niggli (or reduced) cell: a uniquely defined Buerger cell
- All primitive and non-primitive lattices can be reduced to their unique Niggli reduced cell
- Algorithm: Delaunay reduction gives the Niggli cell

Conventional Unit Cell

- Basis vectors define a right-handed system
- Unit cell edges lie along symmetry directions in the crystal
- Cell is the smallest cell compatible with conditions 1 and 2
- Niggli cells can always be transformed to a conventional setting (and vice versa)

Crystal Systems

There are 7 crystals systems and they are named:
Triclinic, Monoclinic, Orthorhombic,
Tetragonal, Trigonal, Hexagonal, and Cubic.

What differentiates one crystal system from another?

The order of its principal or characteristic symmetry

Crystal Systems & Their Symmetries

Crystal System	Lattice & point symmetries	Metric Constraints NOTE: “≠” means “not constrained to be equal to” rather than “not equal to”
Triclinic	$\bar{1}, 1$	$a \neq b \neq c; \alpha \neq \beta \neq \gamma$
Monoclinic	$2/m, 2, m$	$a \neq b \neq c; \alpha = \gamma = 90^\circ, \beta \neq 90^\circ$
Orthorhombic	$mmm, mm2, 222$	$a \neq b \neq c; \alpha = \beta = \gamma = 90^\circ$
Tetragonal	$4/mmm, \bar{4}2m, 4mm, 422, 4/m, \bar{4}, 4$	$a = b \neq c; \alpha = \beta = \gamma = 90^\circ$
Trigonal rhombohedral setting hexagonal setting	$\bar{3}m, 3m, 32, \bar{3}, 3$	$a = b = c; \alpha = \beta = \gamma \neq 90^\circ$ $a = b \neq c; \alpha = \beta = 90^\circ, \gamma = 120^\circ$
Hexagonal	$6/mmm, \bar{6}m\bar{2}, 6mm, 622, 6/m, \bar{6}, 6$	$a = b \neq c; \alpha = \beta = 90^\circ, \gamma = 120^\circ$
Cubic	$m\bar{3}m, \bar{4}3m, 432, m\bar{3}, 23$	$a = b = c; \alpha = \beta = \gamma = 90^\circ$

Crystallographic Point Symmetries

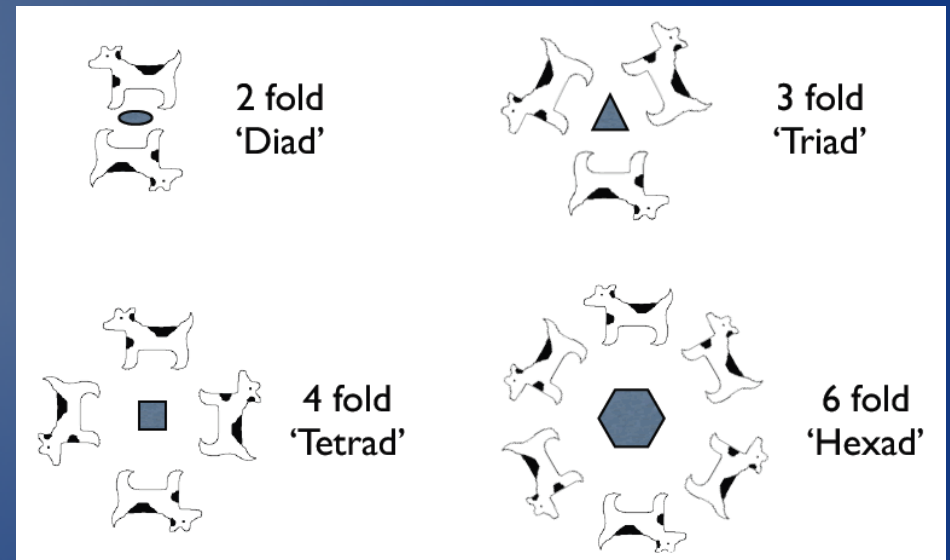
- Point symmetries are symmetries which all pass through a given point and this point does not change with the application of a symmetry operation
- The symmetry elements which constitute the crystallographic point groups are:
 - Proper rotation axes (n)
 - Mirror planes (m)
 - Inversion centre ($\bar{1}$, or no explicit symbol)
 - Rotary inversion axes (\bar{n})
- Only n -fold axes where $n = 1, 2, 3, 4, 6$ are allowed for space filling 3 dimensional objects
- 32 unique crystallographic point groups are obtained from combining the various allowed rotation axes, mirror planes, and inversions
- 11 of the 32 crystallographic point groups are **centrosymmetric**

Laue Groups and Holohedries

- **Laue groups:** the 11 centrosymmetric groups
 - Symmetry of the diffraction pattern as determined from the observed intensities
 - Matches the space group without any translations and adding a centre of symmetry
 - A crystal system can have more than one Laue group
- **Holohedry:** When the point group of a crystal is identical to the point group of its lattice
 - There are 7 holohedral point groups which correspond to the 7 crystal systems
 - Holohedries are always centrosymmetric
- All holohedries are Laue groups, but not all Laue groups are holohedries

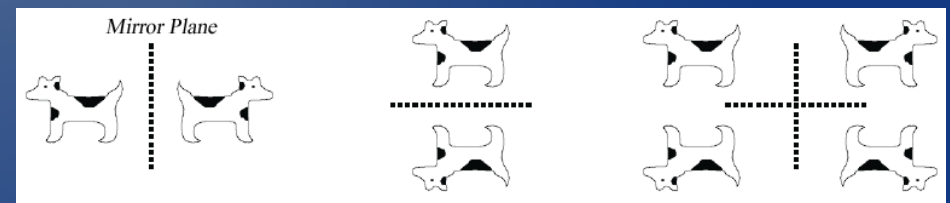
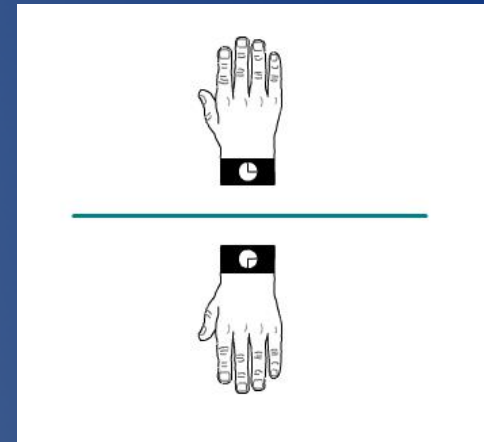
Proper Rotation Axes

- Rotation about an axis by $360^\circ/n$.
- Symmetry operation of the first kind
- Doesn't change handedness of object



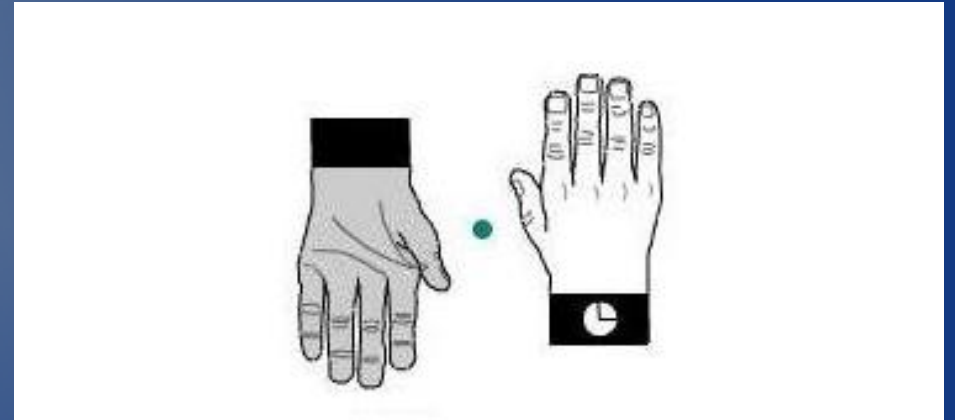
Mirror plane

- Creates a reflected object
- Symmetry element of the second kind
- Changes handedness of object



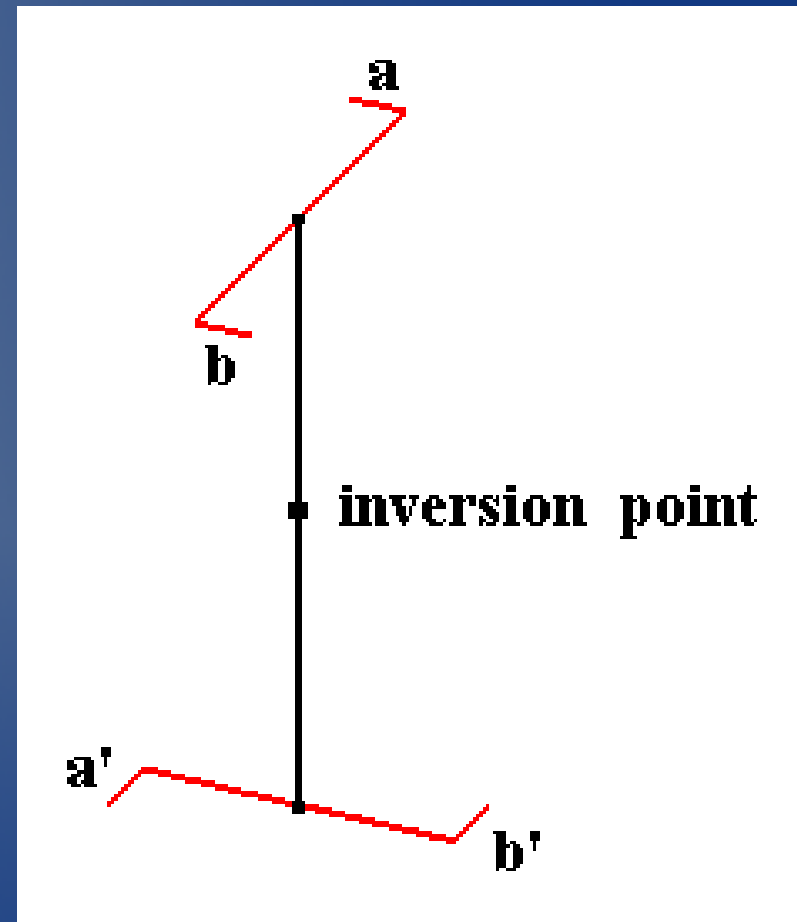
Inversion Centre

- Transforms x, y, z into $\bar{x}, \bar{y}, \bar{z}$
- Symmetry element of the second kind
- Changes handedness of object



Rotary Inversion Axis

- Rotation of $360^\circ/n$ followed by inversion
- Symmetry element of the second kind
- Changes handedness of object
- $\bar{1}$ is equivalent to an inversion centre
- $\bar{2}$ is equivalent to a mirror plane



Symmetry Notation

- Spectroscopists use Schoenflies notation to describe symmetry (e.g. C_{2v} , D_{4h})
- Crystallographers use Hermann-Mauguin notation (International notation)
- Was introduced by Carl Hermann in 1928, modified by Charles-Victor Mauguin in 1931
- Adopted for the 1935 edition of the *International Tables for Crystallography*

Features of Hermann-Mauguin Notation

- Hermann-Mauguin notation is preferred for crystallography
 - Easier to add translational symmetry elements
 - Directions of symmetry axes are specified
- Quick things to note:
 - Interpretation of Hermann-Mauguin symbols depends on the crystal system
 - “n/m” notation means mirror plane perpendicular to n-fold axis
 - Hermann-Mauguin symbols have both “long” and “short” forms
 - Not all symmetry elements present are symbolized, some are left implicit

Brief Detour: Specifying Directions in a Crystal

- Miller Indices, h, k, l
- Specify a plane in a crystal by indexing the reciprocals of where the plane intersects the axes
- Example: a plane has axial intercepts at $(-\frac{1}{4}, \frac{1}{2}, \frac{1}{3})$ the Miller index is $(\bar{4}23)$
- Planes, vectors, reciprocal lattice points, and forms may be specified using Miller indices
 - Plane: (hkl)
 - Vector: $[hkl]$
 - Reciprocal lattice point: hkl
 - Forms $\{hkl\}$
- In this lecture we will be using (hkl) and $[hkl]$ often

Understanding Hermann-Mauguin Notation for Point Groups

Crystal System	1 st Position	2 nd Position	3 rd Position	Point Groups
Triclinic	Only one position, denoting all directions in crystal			$\bar{1}$, 1
Monoclinic	Only 1 symbol: 2 or $\bar{2}$ to Y (<i>b</i> is principal axis)			2/m, 2, m
Orthorhombic	2 and/or $\bar{2}$ to X	2 and/or $\bar{2}$ to Y	2 and/or $\bar{2}$ to Z	mmm, mm2, 222
Tetragonal	4 and/or $\bar{4}$ to Z	2 and/or $\bar{2}$ to X and Y	2 and/or $\bar{2}$ to [110]	4/mmm, $\bar{4}2m$, 4mm, 422, 4/m, $\bar{4}$, 4
Trigonal	3 and/or $\bar{3}$ to Z	2 and/or $\bar{2}$ to X, Y, U		$\bar{3}m$, 3m, 32, $\bar{3}$, 3
Hexagonal	6 and/or $\bar{6}$ to Z	2 and/or $\bar{2}$ to X, Y, U	2 and/or $\bar{2}$ along [110]	6/mmm, $\bar{6}m\bar{2}$, 6mm, 622, 6/m, $\bar{6}$, 6
Cubic	2 and/or $\bar{2}$ to X, Y, Z			$m\bar{3}$, 23
	4 and/or $\bar{4}$ to X, Y, Z	3 and/or $\bar{3}$ to [111]	2 and/or $\bar{2}$ along face diagonals	$m\bar{3}m$, $\bar{4}3m$, 432

Choosing the Correct Crystal System

- Do not assume the metric relations indicate the correct point group and crystal system!!!
- Correctly identify the Laue group symmetry of the diffraction pattern (equivalent intensities, R_{sym})
- The Laue symmetry indicates the crystal system of your sample
- Correct Laue group assignment narrows space group choices

Space Groups

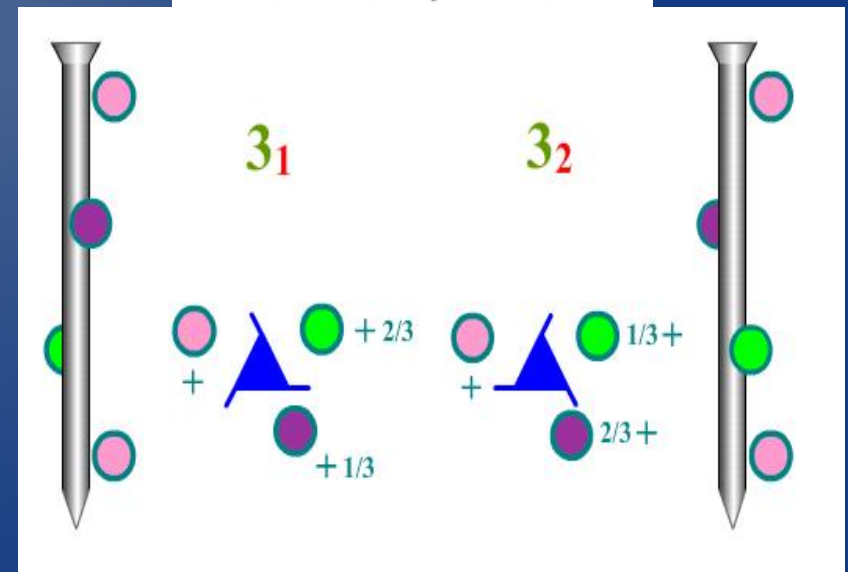
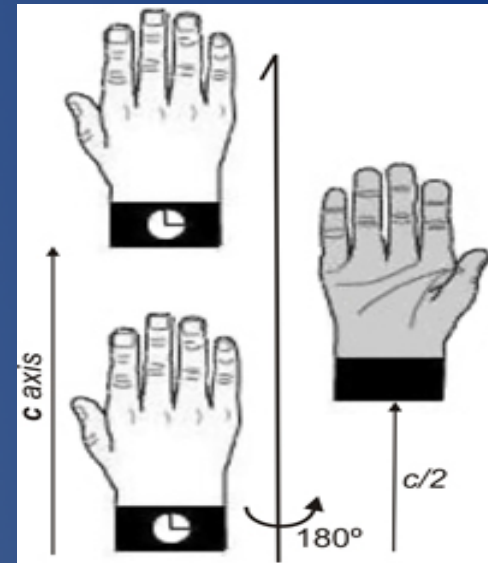
- Space groups vs Point groups
 - Point groups describe symmetry of isolated objects
 - Space groups describe symmetry of infinitely repeating space filling objects
- Space groups include point symmetry elements
- Space groups include additional *translational symmetry elements*
- The presence of translational symmetry elements causes systematic absences in the diffraction pattern

Translational Symmetry Elements

- Lattice Translations
 - Trivial unit cell translations
 - Translations due to centring vectors from non-primitive Bravais lattices
- Screw Axes – combine a rotation with translation
- Glide Planes – combine a reflection with translation

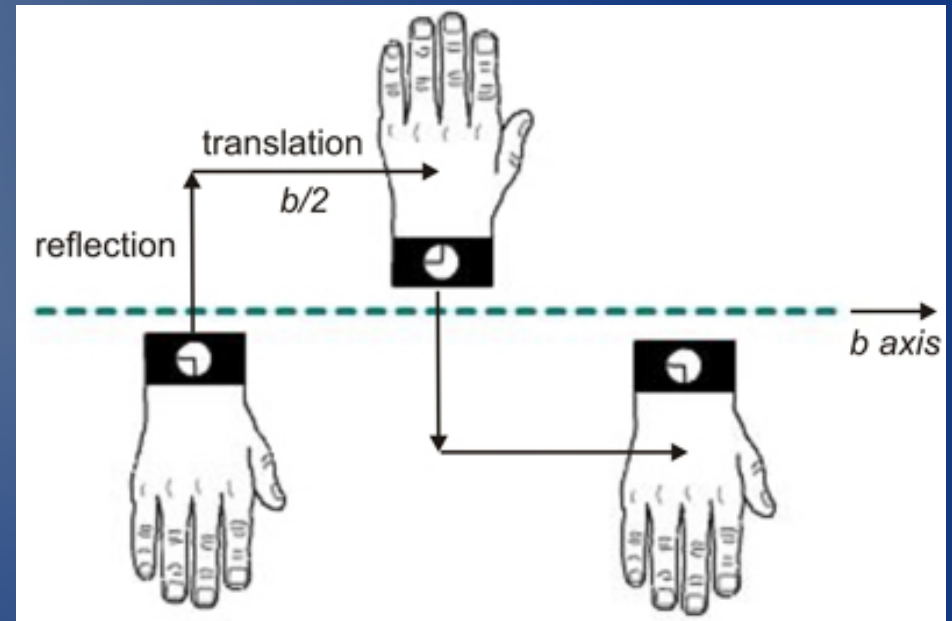
Screw Axes

- Combines rotation and translation
- Designated as n_m (e.g. 2_1 , 4_1 , 3_2 , 4_3)
- Rotation as $360^\circ/n$
- Symmetry element of the first kind
- Translation as m/n of a unit cell ($n > m$)
- Orientation of the screw axis given by its place in the H-M symbol
- Causes systematic absences in axial ($h00,0k0,00l$) reflections
- Certain pairs of screw axes correspond to right and left handed screws (e.g. 3_1 and 3_2) and are enantiomorphs



Glide Planes

- Combine reflection with translation
- Symmetry element of the second kind
- Designated as a , b , c , d , n and letter gives direction of translational component
- Orientation of reflection plane given by place in the H-M symbol
- Cause systematic absences in zero layers ($0kl$, $h0l$, and $hk0$) of the diffraction pattern



n and d Glide Planes

- n glides translate along face diagonals, $(a+b)/2$, $(a+c)/2$, or $(b+c)/2$
- d glides only occur F and I centred lattices
- d glides translate along face diagonals at $\frac{1}{4}$ along each direction, *i.e.* $(a+b)/4$, $(a+c)/4$, or $(b+c)/4$
- After 2 (or 4 for d glides) consecutive glide operations the point is identical to the original point plus a unit translations along 2 axes

Interpretation of Space Group Symbols

- Space group symbols consist of several parts
 - Bravais lattice type
 - List of symbols denoting type and orientation of symmetry elements
- Must know the Crystal System in order to correctly interpret the space group symbol

Bravais Lattice Symbol

$P2_1/c$

Symmetry Symbol

Bravais Lattice Symbol

Symm Symbol

$Iba2$

Symm Symbol

Symm Symbol

Interpretation of Space Group Symbols

- Perform the following steps:
 - Identify the point group of the crystal
 - Remove Bravais lattice type symbol
 - $Iba2 \rightarrow "ba2"$
 - Convert all translational symmetry elements to their point counterparts (glides \rightarrow mirror; screw axes \rightarrow rotation axes)
 - $"ba2" \rightarrow mm2$
 - Look up crystal system which corresponds to that point group ($mm2 \rightarrow$ orthorhombic)
 - Use Hermann-Mauguin rules for that crystal system

Interpretation of Iba2 Space Group Symbol

- Continue with Iba2 example
- Body centred
- *b* glide reflecting across (100)
- *a* glide reflecting across (010)
- 2-fold proper rotation parallel to [001]
- $mm2$ is an acentric point group. Therefore, Iba2 is an acentric space group

Notable Features of Space Groups

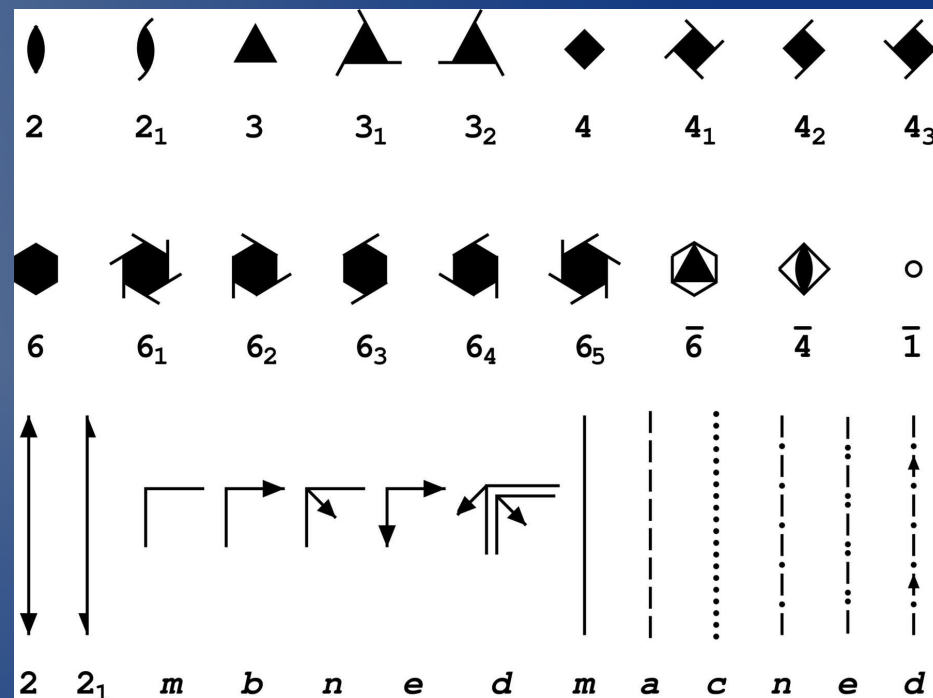
- Combining point symmetry and translational symmetry elements with the 14 Bravais lattices yields 230 unique space groups
- 73 of these are symmorphic space groups. These have no translational symmetry elements (*e.g.* P222, F23, Immm)
- 11 enantiomorphous pairs. If a (+) chiral molecule crystallizes in one of these space groups, the (-) enantiomer will crystallize in the other of the pair. *E.g.* P6₁22 and P6₅22
- Enantiopure compounds will crystallize in space groups which only contain symmetry elements of the first kind. There are 65 of these space groups

Representations of Symmetry

- Graphical Representation
 - Qualitative and Symbolic
 - Non-mathematical
 - Visually intuitive (for the most part)
- Equivalent positions (x,y,z)
 - Simple algebraic expressions
 - Good for humans
- Matrix Representation
 - Easy to transform
 - Numerically oriented
 - Good for computers
- ORTEP Representation
 - Compact notation of symmetry operation and unit cell translations
 - Related representations found in PLATON, XP, and CIF

Graphical Representation of Symmetry Elements

- Proper rotations depicted as symbols with the number of vertices which corresponds to n
- Screw axes have same symbol, but have “tails”
- Enantiomeric pairs of screw axes (e.g. 6_1 and 6_5) are mirror images of each other



Equivalent Position Representation

- Simple algebraic expressions
- Good for humans
- $P2_1/c$ example
 - (1) x, y, z
 - (2) $\bar{x}, y + 1/2, \bar{z} + 1/2$
 - (3) $\bar{x}, \bar{y}, \bar{z}$
 - (4) $x, \bar{y} + 1/2, z + 1/2$

Matrix Representation of Symmetry

- Symmetry operator can be partitioned into a rotational part and a translational part
- Rotations can be described as simple 3x3 matrices. Matrix elements are either 1, 0, or -1
- Translations described as 3x1 matrix
- $v' = Rv + t$ where $v = [x,y,z]$
- For example, in $P2_1/c$ the equivalent position: $\bar{x}, y + \frac{1}{2}, \bar{z} + \frac{1}{2}$ looks like this in matrix representation:

$$\begin{bmatrix} x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} + \begin{bmatrix} 0 \\ 1/2 \\ 1/2 \end{bmatrix}$$

ORTEP Symmetry Representation

- Early days of computing memory was expensive
- Needed compact way to depict symmetry equivalent atomic positions including translations
- Avoid negative numbers in unit cell translations
- “5” is the new “0”
- Example: 347502
- Depends on lists of atoms and symmetry operators elsewhere in the file or the program

Atom number
from list

Symmetry
Op. from list

347502

$$T_x = 5 + (-1) = 4$$

$$T_y = 5 + 2 = 7$$

$$T_z = 5 + 0 = 5$$

PLATON, XP, and CIF Symmetry Codes

- Derived and modified from original ORTEP scheme, maintains compactness
- PLATON: [sym_op][T_xT_yT_z].[residue]
 - e.g. 2565.01
- XP: [sym_op][T_xT_yT_z]
 - e.g. 2565
- CIF: [sym_op]_[T_xT_yT_z]
 - e.g. 2_565
- All depend on a list of symmetry operators being defined somewhere else in the file or the software

International Tables for Crystallography

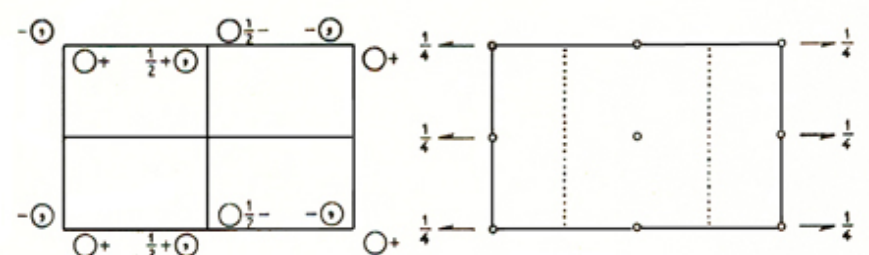
- Information on crystallographic symmetry and related topics has been codified and published in the *International Tables for Crystallography*
- Originally published in 1935, the work has been revised and expanded to include all sorts of topics relevant to X-ray Crystallography
- We will only concern ourselves with material related to space groups (Volume A)

Using the International Tables for X-ray Crystallography

- The *International Tables* (IT) contain information on all space groups
- Most common information used by crystallographers:
 - Graphical depictions
 - Equivalent positions
 - Special positions and site symmetries
 - Systematic absence conditions

Example of International Tables Entry ($P2_1/c$)

Monoclinic	$2/m$	$P 1 2_1/c 1$	No. 14	$P 2_1/c$ C_{2h}^5
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Origin at $\bar{1}$; unique axis b 2ND SETTING

Number of positions, Wyckoff notation, and point symmetry	Co-ordinates of equivalent positions	Conditions limiting possible reflections
4 e 1	$x, y, z; \bar{x}, \bar{y}, \bar{z}; \bar{x}, \frac{1}{2} + y, \frac{1}{2} - z; x, \frac{1}{2} - y, \frac{1}{2} + z.$	General: hkl : No conditions $h0l$: $l=2n$ $0k0$: $k=2n$
2 d I	$\frac{1}{2}, 0, \frac{1}{2}; \frac{1}{2}, \frac{1}{2}, 0.$	Special: as above, plus } hkl : $k+l=2n$
2 c I	$0, 0, \frac{1}{2}; 0, \frac{1}{2}, 0.$	
2 b I	$\frac{1}{2}, 0, 0; \frac{1}{2}, \frac{1}{2}, \frac{1}{2}.$	
2 a I	$0, 0, 0; 0, \frac{1}{2}, \frac{1}{2}.$	

Symmetry of special projections

$(001) pgm; a' = a, b' = b$	$(100) pgg; b' = b, c' = c$	$(010) p2; c' = c/2, a' = a$
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Experimental Determination of Space Group

- Space groups are determined primarily through the examination of systematic absences
- Some space groups are uniquely determined by the systematic absences, others are not
- For ambiguous cases, very often the choice is between a centric and acentric space group, e.g. $Pca2_1$ and $Pbcm$

Systematic Absences due to Non-Primitive Lattices

- Non-primitive lattices exhibit systematic absences in the general hkl class of reflection

Centring	Absence Condition for hkl reflections
A	$k+l = \text{odd}$
B	$h+l = \text{odd}$
C	$h+k = \text{odd}$
F	$k+l = \text{odd},$ $h+l = \text{odd},$ $h+k = \text{odd}$
I	$h+k+l = \text{odd}$

Screw Axis Absences

- Screw axes affect the classes of axial reflections: $h00$, $0k0$, and $00l$
- The type of screw axis is determined by examining the pattern of the absence
- Example: consider 6 fold screws along c axis:
 - 6_1 or 6_5 , $00l$: $l = 6n + 1, 2, 3, 4, 5$ (not divisible by 6)
 - 6_2 or 6_4 , $00l$: $l = 3n+1, 2$ (not divisible by 3)
 - 6_3 , $00l$: $l = 2n+1$ (not divisible by 2)

Orientation of Glide Planes

- When a glide plane is present one can determine the orientation and type of glide plane from the affected class(es) of reflections
- The 0 index of the affected layer indicates the orientation of the glide's reflection plane
 - $0kl$: glide reflects across (100)
 - $h0l$: glide reflects across (010)
 - $hk0$: glide reflects across (001)

Identification of Glide Planes

- The translational component identifies the type of glide plane
- The translational component causes absences along the affected axes
- $0kl$:
 - $k = \text{odd} \rightarrow b$ glide; $l = \text{odd} \rightarrow c$ glide; $k+l = \text{odd} \rightarrow n$ glide
- $h0l$:
 - $h = \text{odd} \rightarrow a$ glide; $l = \text{odd} \rightarrow c$ glide; $h+l = \text{odd} \rightarrow n$ glide
- $hk0$:
 - $h = \text{odd} \rightarrow a$ glide; $k = \text{odd} \rightarrow b$ glide; $h+k = \text{odd} \rightarrow n$ glide

Conventional Settings

- Space group symbols depend on choice of axes
- Minimize ambiguity by following established conventions (unless there is a reason to break from convention)
- *International Tables* gives conventional settings
 - Lots of different rules
 - Learn through experience
- Some examples (conventional setting **bold**):
 - $P2_1/a$ is the same **$P2_1/c$**
 - $Pcab$ is the same as **$Pbca$**
 - $Pc2_1b$ is the same as **$Pca2_1$**

Space Group Ambiguities

- Sometimes space group extinctions do not uniquely identify the space group
- In these cases, use some rules of thumb
 - Centric groups are more common than acentric groups. Therefore, try solving with the centric group first
 - Alternative approach: start with acentric group and look for missing symmetry after structure solution

Problems with Systematic Absences

- Sometimes systematic absences which should be there are violated for a couple of reasons
 - Twinning:
 - reflections from an alien lattice occur where absences from primary lattice should be
 - Twinning can cause a mis-indexing of lattice and upset the systematic absence patterns
 - Renninger effect (“double diffraction”) can cause apparent violations of systematic absence conditions
- Systematically weaker reflections due to pseudotranslational effects are missed and counted as absences when they should not be. *E.g.* mistaking a primitive cell to be a centred cell

Subtleties in Space Group Determination

- Space groups $P\bar{3}m1$, $P\bar{3}1m$, $P3m1$, and $P31m$ are symmorphic space groups (no translational symmetry elements)
- Can we differentiate them in some way to identify the space group?
- $P\bar{3}m1$, $P\bar{3}1m$ are distinguishable on the basis of their Laue symmetry equivalent intensities
- We cannot distinguish $P\bar{3}m1$ from $P3m1$ nor $P\bar{3}1m$ from $P31m$ (centric/acentric pairs of space groups)
- Similarly we can differentiate $P6/m$ from $P6/mmm$ on the basis of Laue intensity distributions

Conclusion

- Understanding symmetry in general and space groups in particular is essential to successful structure determination
- Incorrect assignment of crystal system and space group constitute serious errors in crystal structure analysis
- Thoughtfulness and experience are essential to becoming proficient in space group assignment