

The Fourier Transform, the Wave Equation and Crystals

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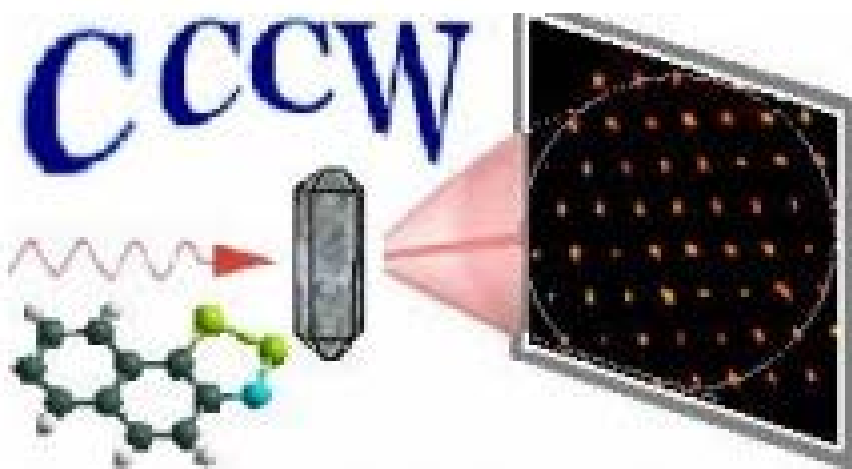
- Program Chairs

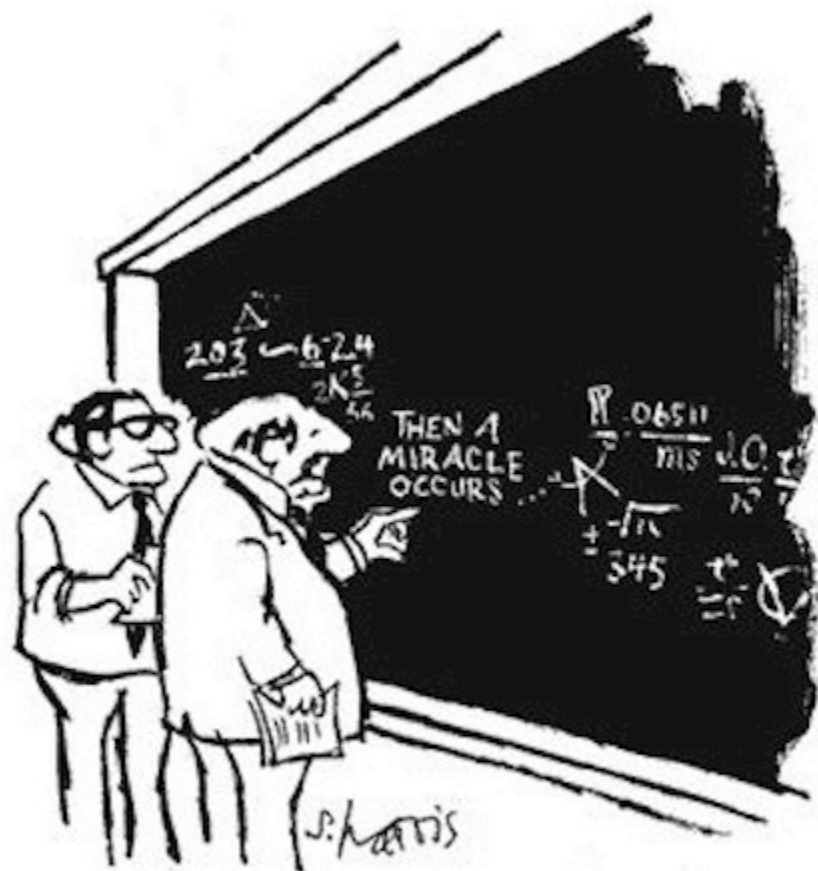
- Vivien Yee
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- Workshops:

- Career development
- Characterization of Nanomaterials
- CryoEM (2)
- Serial Crystallography
- Phase ID

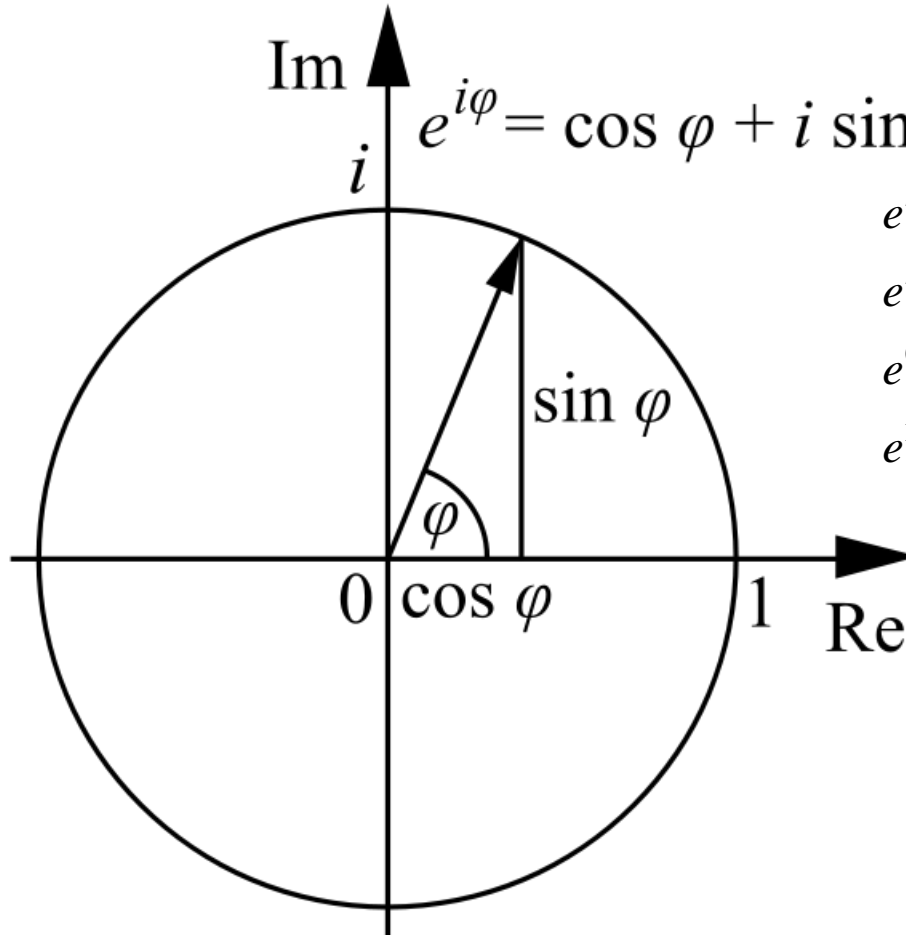
<https://www.aca2019mtg.com/>





"I THINK YOU SHOULD BE MORE EXPLICIT HERE IN STEP TWO."

The Most Important Thing to Remember



$$e^{i\varphi} = \cos \varphi + i \sin \varphi$$

$$e^{ni\pi} = e^{-ni\pi} = -1 \text{ for } n \text{ odd}$$

$$e^{ni\pi} = e^{-ni\pi} = 1 \text{ for } n \text{ even}$$

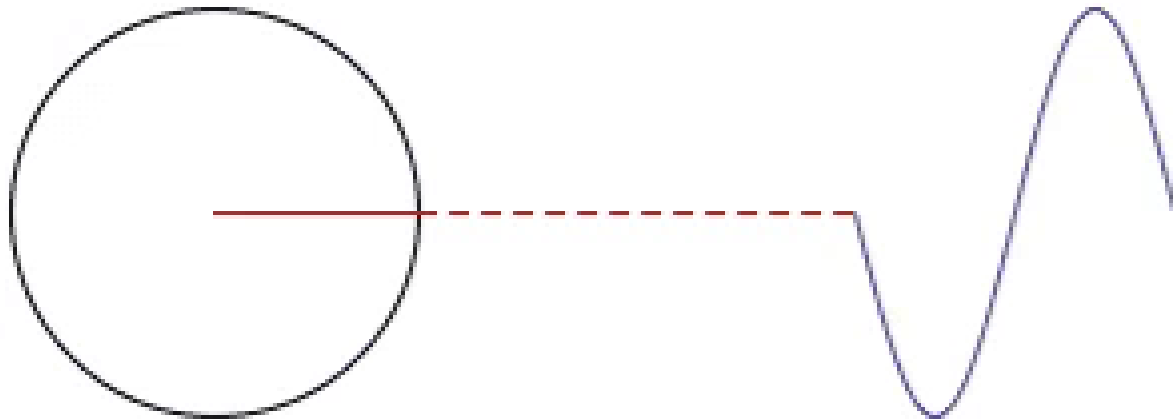
$$e^{0.5i\pi} = i$$

$$e^{1.5i\pi} = -i$$

http://en.wikipedia.org/wiki/Euler's_formula

Fourier Theory

- Originally proposed by Jean-Baptiste Joseph Fourier in 1822 in *The Analytical Theory of Heat*
- Described discrete function as the infinite sum of sines



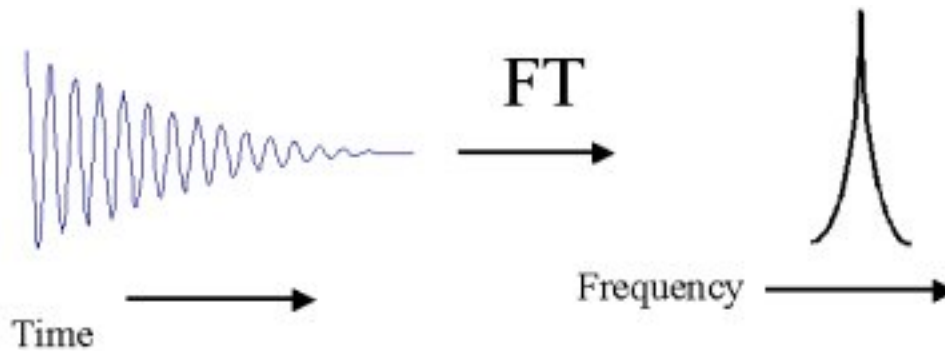
The Fourier Transform

$$F(k) = \int_{-\infty}^{\infty} f(x) e^{ikx} dx$$

$$F(k) = Tf(x)$$

In the three dimensions this is generalized to:

$$F(\mathbf{k}) = \int_{\mathbf{r}} f(\mathbf{r}) e^{i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r} = Tf(\mathbf{r})$$



The Fourier Transform

Let's look at an example:

$$-\infty < x < -X_0, \quad f(x) = 0$$

$$-X_0 \leq x \leq X_0, \quad f(x) = h$$

$$X_0 < x < \infty, \quad f(x) = 0$$

$$F(k) = \int_{-\infty}^{\infty} f(x) e^{ikx} dx$$

$$F(k) = h \int_{-X_0}^{X_0} e^{ikx} dx$$

$$F(k) = h \left[\frac{e^{ikx}}{ik} \right]_{-X_0}^{X_0} = h \frac{e^{ikX_0} - e^{ik(-X_0)}}{ik}$$

$$\sin \theta = \frac{e^{i\theta} - e^{-i\theta}}{2i}, \quad \theta = kX_0$$

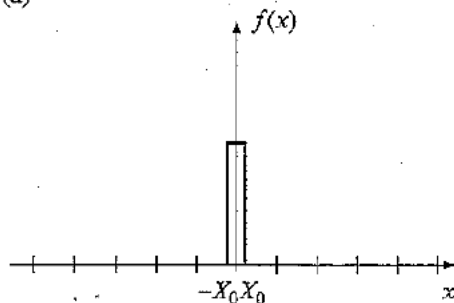
$$F(k) = 2h \frac{\sin kX_0}{k} = 2X_0 h \frac{\sin kX_0}{kX_0}$$

$$\sin kX_0 = 0$$

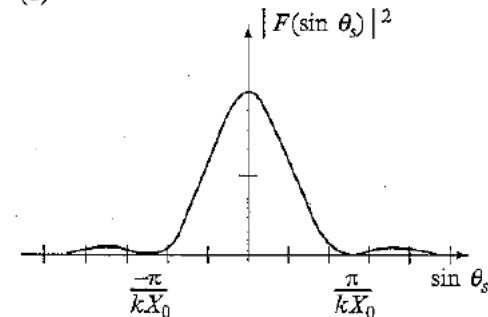
$$kX_0 = \pm \pi$$

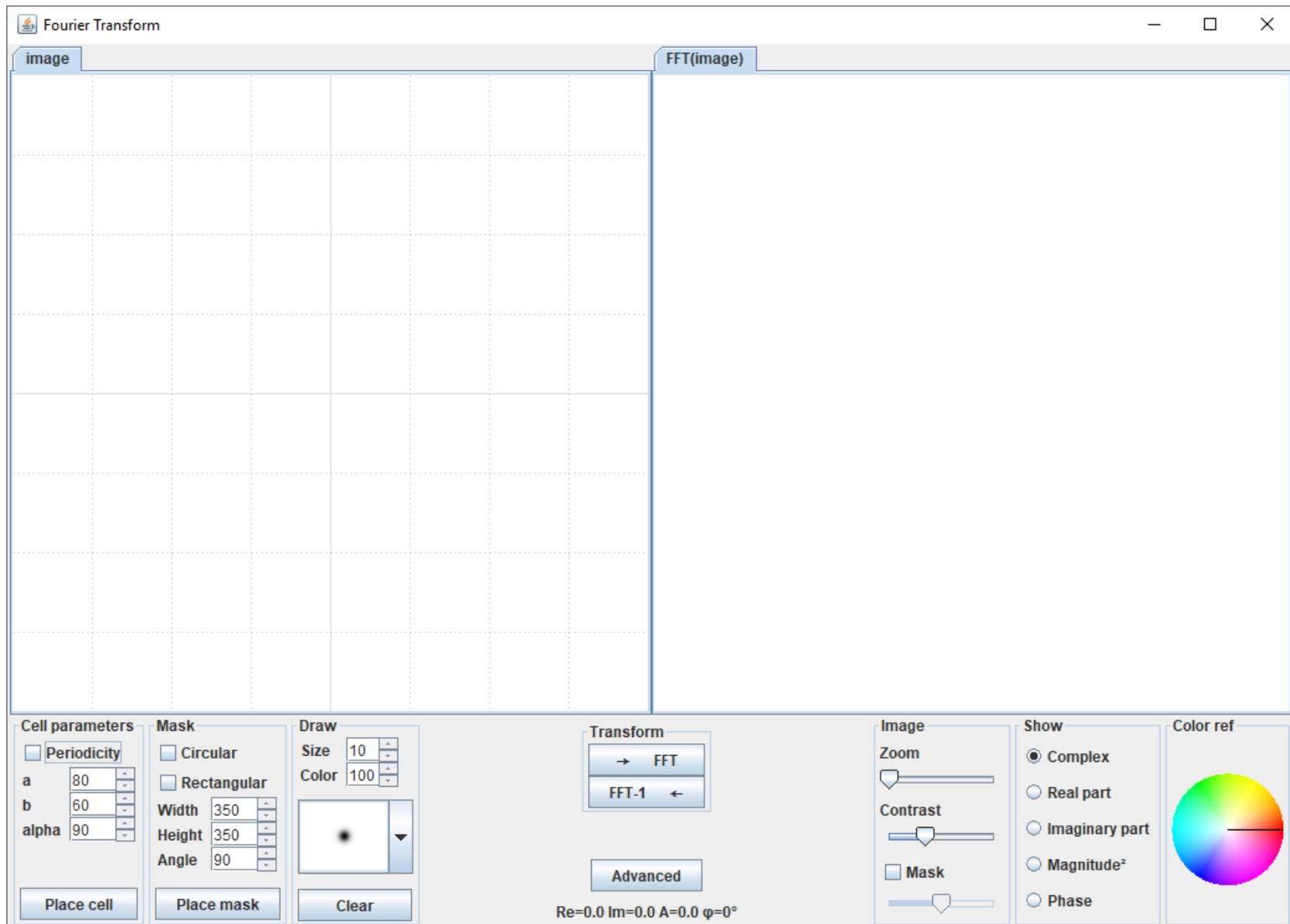
$$k = \pm \frac{\pi}{X_0}$$

(a)



(b)





The Dirac δ function

$$\delta(x - x_0) \begin{cases} +\infty, & (x - x_0) = 0 \\ 0, & (x - x_0) \neq 0 \end{cases}$$

$$\int_{-\infty}^{\infty} \delta(x - x_0) dx = 1$$

A 3D lattice may be described as a three dimensional array of delta functions.

$$\mathbf{r} = p\mathbf{a} + q\mathbf{b} + r\mathbf{c}$$

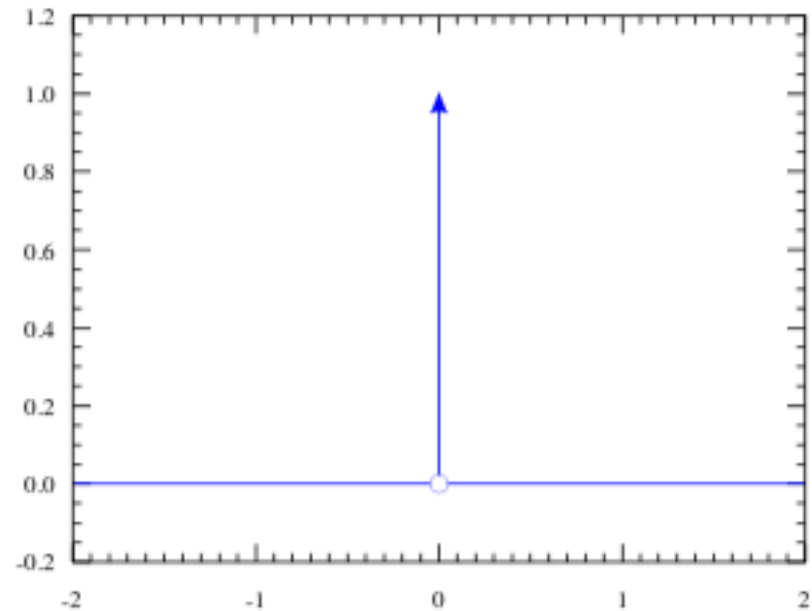
$$l(\mathbf{r}) = \sum_{\text{all } p, q, r} \delta(\mathbf{r} - [p\mathbf{a} + q\mathbf{b} + r\mathbf{c}])$$

An important feature of the δ function is that:

$$\int_{-\infty}^{\infty} f(x) \delta(x - x_0) dx = f(x_0) \int_{-\infty}^{\infty} \delta(x - x_0) dx = f(x_0)$$

In three dimensions:

$$\int_{-\infty}^{\infty} f(\mathbf{r}) \delta(\mathbf{r} - \mathbf{r}_0) d\mathbf{r} = f(\mathbf{r}_0)$$



Fourier transforms and δ functions

One δ function

$$F(k) = \int_{-\infty}^{\infty} f(x) e^{ikx} dx$$

$$= \int_{-\infty}^{\infty} \delta(x) e^{ikx} dx = \left[e^{ikx} \right]_{x=0} = e^0 = 1$$

Two δ functions:

$$f(x) = \delta(x + x_0) + \delta(x - x_0)$$

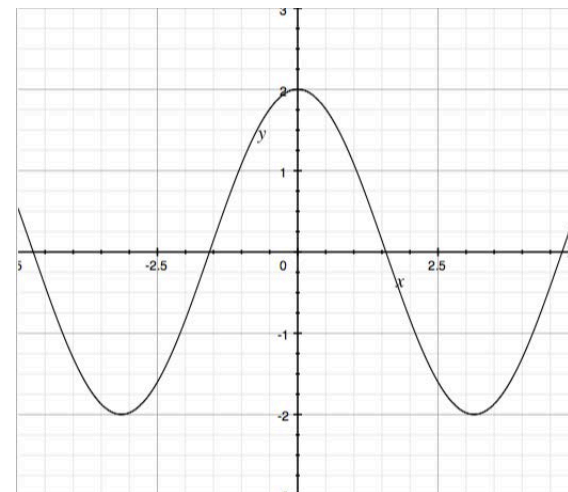
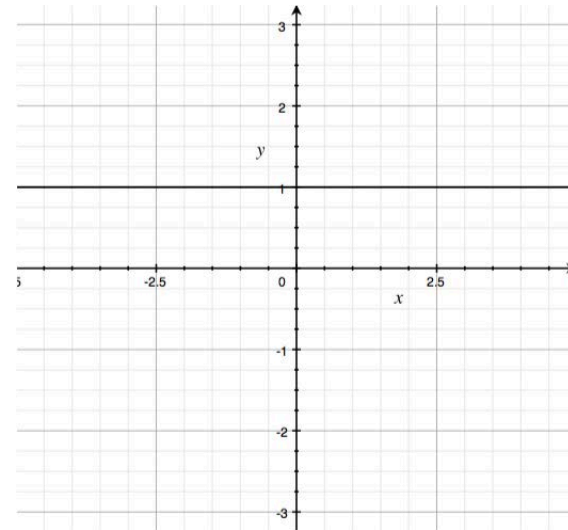
$$F(k) = \int_{-\infty}^{\infty} f(x) e^{ikx} dx$$

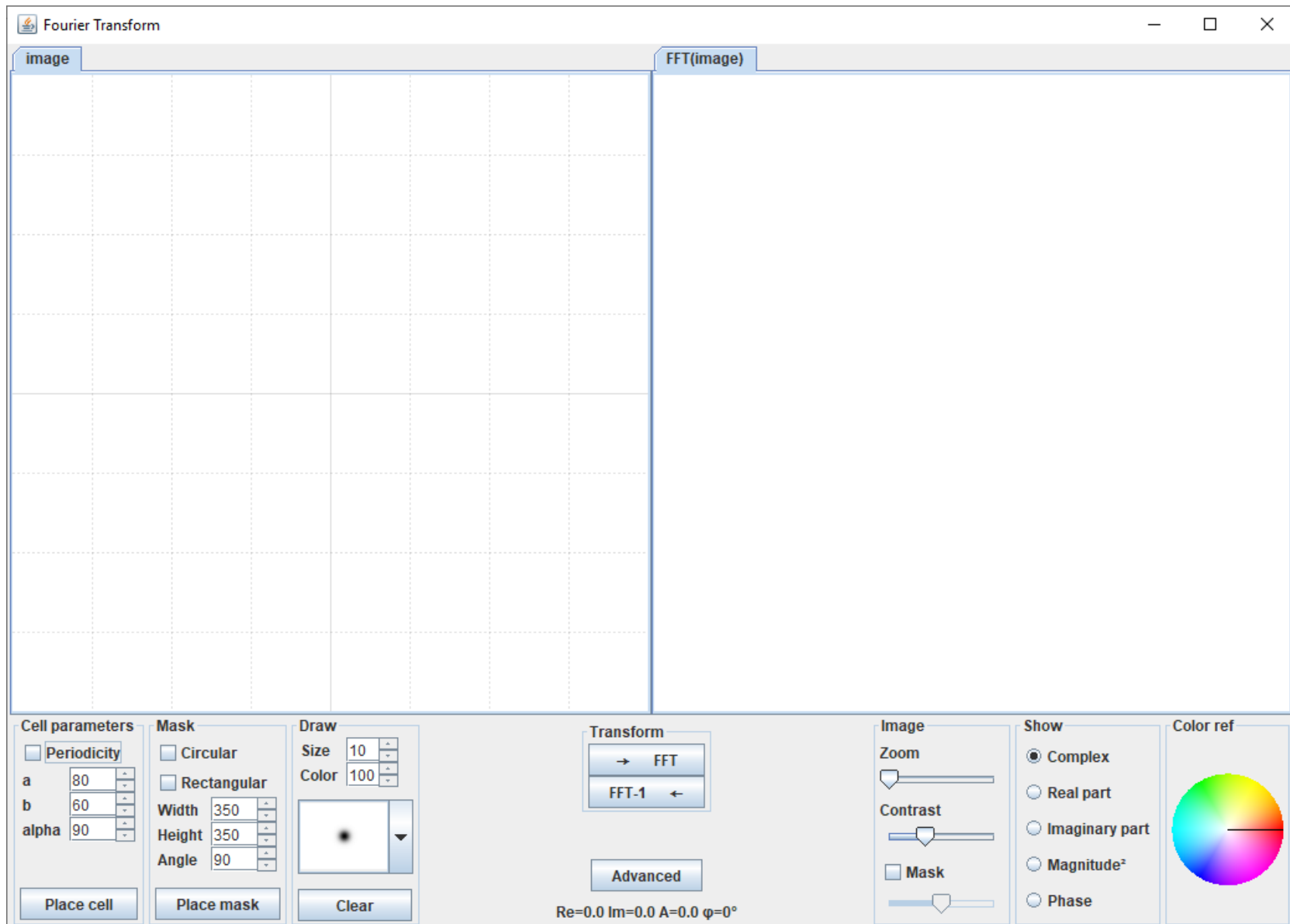
$$= \int_{-\infty}^{\infty} \delta(x + x_0) e^{ikx} dx + \int_{-\infty}^{\infty} \delta(x - x_0) e^{ikx} dx$$

$$= e^{-ikx_0} + e^{ikx_0}$$

$$\cos \theta = \frac{e^{i\theta} + e^{-i\theta}}{2}, \quad \theta = kx_0$$

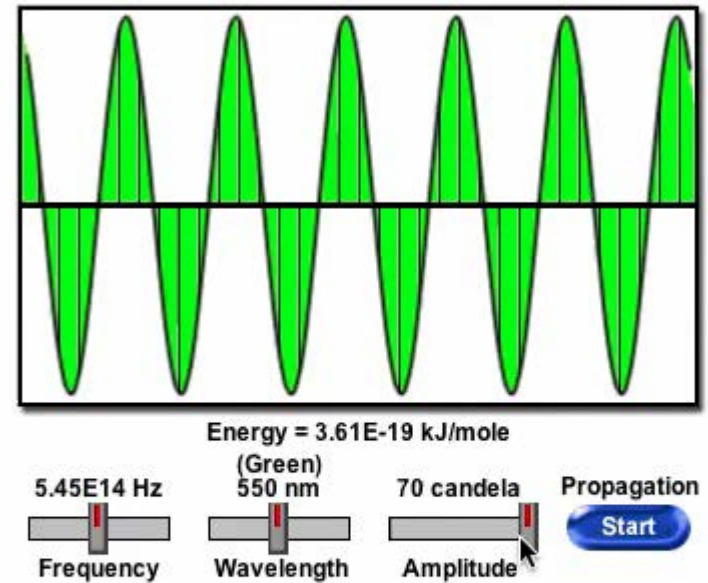
$$F(k) = 2 \cos kx_0$$





Waves and Electromagnetic Radiation

- What is a wave?
 - Direction of propagation
 - Amplitude
 - Wave crest
 - Wave trough
 - Wavelength
 - Period
 - Frequency



Waves and Electromagnetic Radiation

- What is a wave?
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$$\psi(x, 0) = \psi_0 \cos 2\pi \frac{x}{\lambda}$$

$$\psi(0, t) = \psi_0 \cos 2\pi \frac{t}{\tau}$$

$$\psi(x, t) = \psi_0 \cos \left(2\pi \frac{x}{\lambda} - 2\pi \frac{t}{\tau} \right)$$

$$k = \frac{2\pi}{\lambda}$$

$$\omega = \frac{2\pi}{\tau}$$

$$\psi(x, t) = \psi_0 \cos(kx - \omega t)$$

$$\frac{\Delta x}{\Delta t} = \frac{k}{\omega} = v$$

$$\frac{\partial^2 \psi(x, y, z, t)}{\partial x^2} + \frac{\partial^2 \psi(x, y, z, t)}{\partial y^2} + \frac{\partial^2 \psi(x, y, z, t)}{\partial z^2} = \frac{1}{v^2} \frac{\partial^2 \psi(x, y, z, t)}{\partial t^2}$$

$$\psi(x, y, z, t) = \psi_0 \cos(k_x x + k_y y + k_z z - \omega t)$$

$$k_x^2 + k_y^2 + k_z^2 = \frac{\omega^2}{v^2}$$

$$\mathbf{r} = (x, y, z)$$

$$\mathbf{k} = (k_x, k_y, k_z)$$

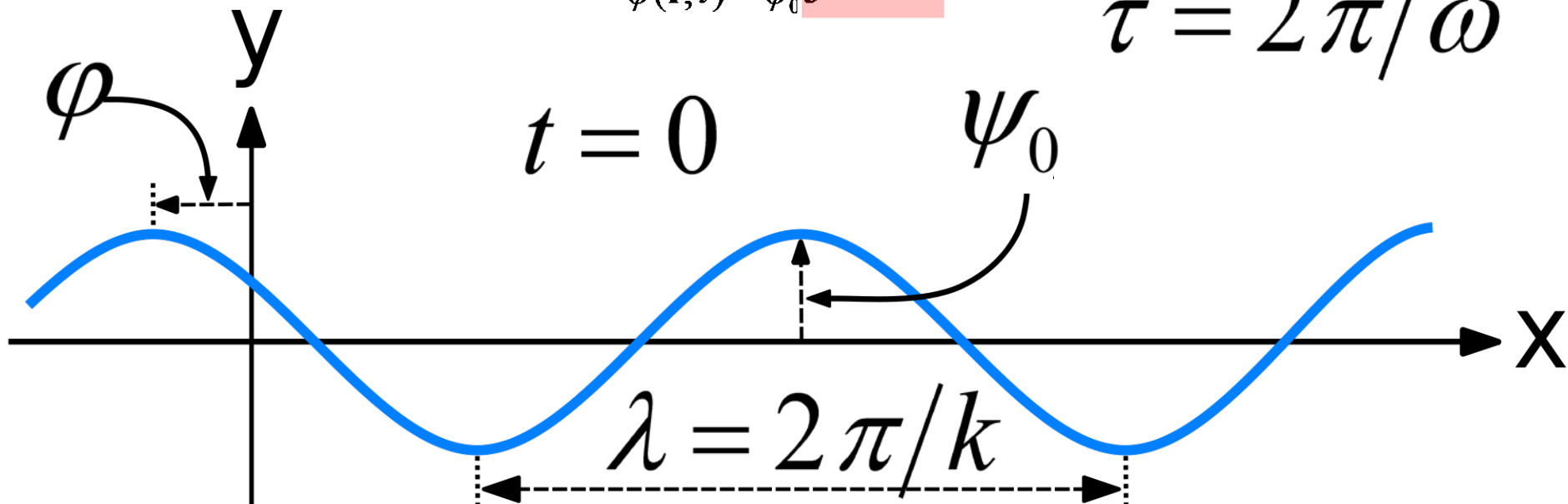
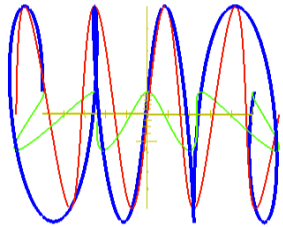
$$\mathbf{k} \cdot \mathbf{r} = (k_x x + k_y y + k_z z)$$

$$\psi(\mathbf{r}, t) = \psi_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t)$$

$$\psi(\mathbf{r}, t) = \psi_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t + \phi)$$

$$\psi(\mathbf{r}, t) = \psi_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t + \phi)}$$

$$\tau = 2\pi / \omega$$



Intensity (Amplitude) of the Wave

$$I = |\psi(\mathbf{r}, t)|^2$$

$$\mathbf{F} = q\mathbf{E}$$

$$\mathbf{F} = m\mathbf{a}$$

$$q\mathbf{E} = m\mathbf{a}$$

$$\mathbf{a} = \frac{q}{m}\mathbf{E}$$

$$I \propto |\mathbf{a}|^2 \propto \left(\frac{q}{m}\right)^2 |\mathbf{E}|^2$$

Diffraction

- Diffraction by one dimensional objects
- Diffraction by two dimensional objects
- Diffraction by three dimensional objects

Diffraction by a one dimensional object

$$\mathbf{k} = (k_x, 0, k_z)$$

$$\mathbf{k} \cdot \mathbf{r} = (k_x, 0, k_z) \cdot (x, 0, 0)$$

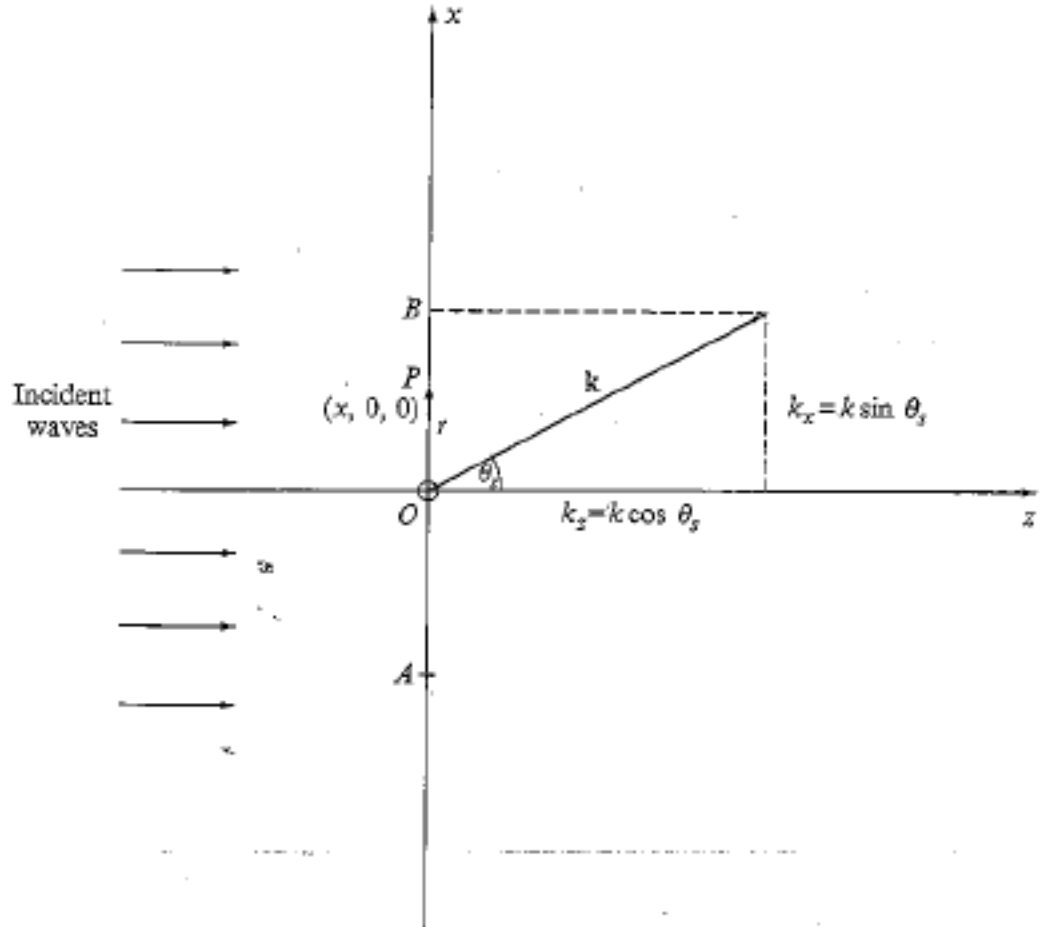
$$\mathbf{k} \cdot \mathbf{r} = k_x x$$

$$k_x = k \sin \theta_s$$

$$\mathbf{k} \cdot \mathbf{r} = kx \sin \theta_s$$

$$F(\mathbf{k}) = \int_{-\infty}^{\infty} f(x) e^{ikx \sin \theta_s} dx$$

$$F(\sin \theta_s) = \int_{-\infty}^{\infty} f(x) e^{ikx \sin \theta_s} dx$$



Diffraction by one narrow slit

A narrow slit is defined by:

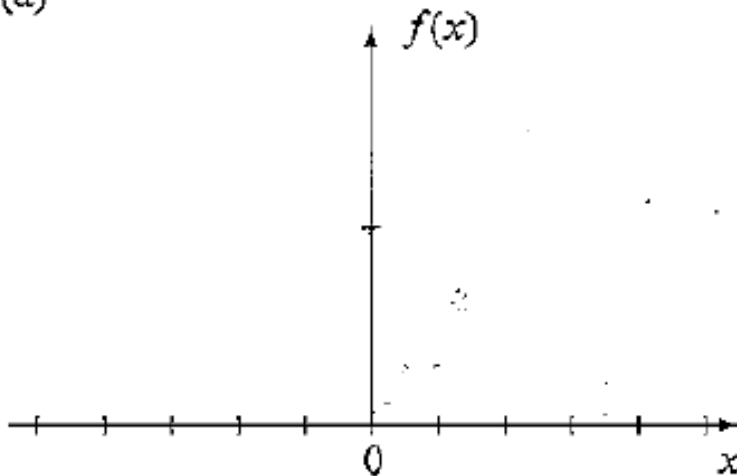
$$f(x) = \delta(x) \text{ and } \delta(0) = +\infty$$

$$F(\sin \theta_s) = \int_{-\infty}^{\infty} \delta(x) e^{ikx \sin \theta_s} dx = \int_{-\infty}^{\infty} \delta(x) dx = 1$$

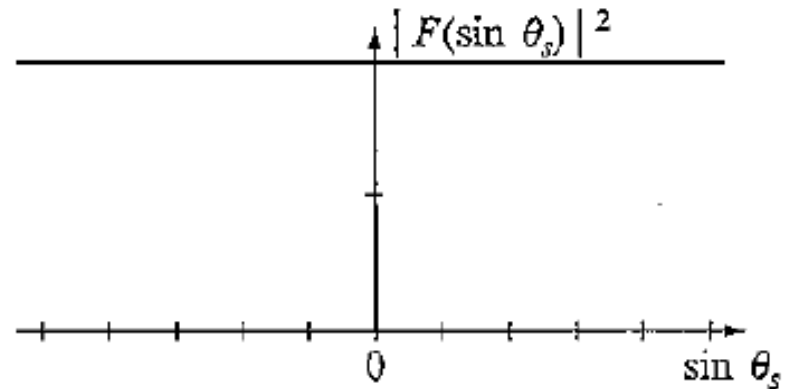
$$F(\sin \theta_s) = 1$$

$$|F(\sin \theta_s)|^2 = 1$$

(a)



(b)



Diffraction by one wide slit

$$f(x) = 0 \text{ if } -\infty < x < -X_0$$

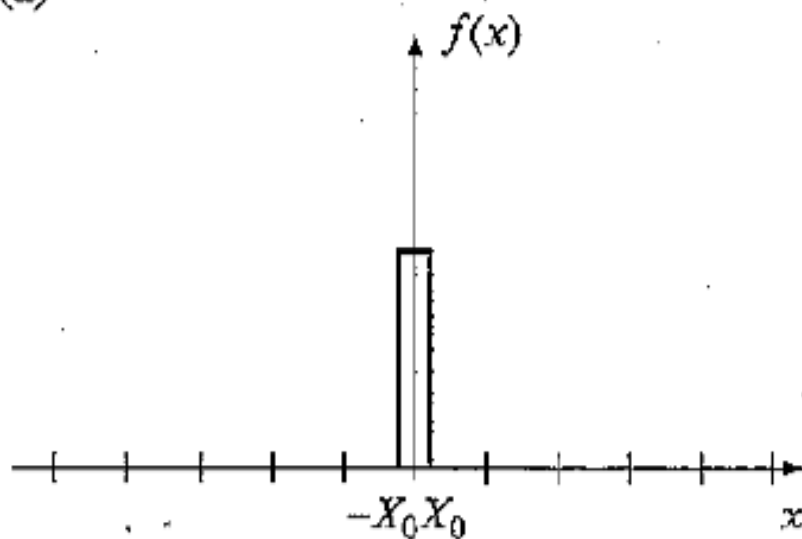
$$f(x) = 1 \text{ if } -X_0 < x < X_0$$

$$f(x) = 0 \text{ if } X_0 < x < \infty$$

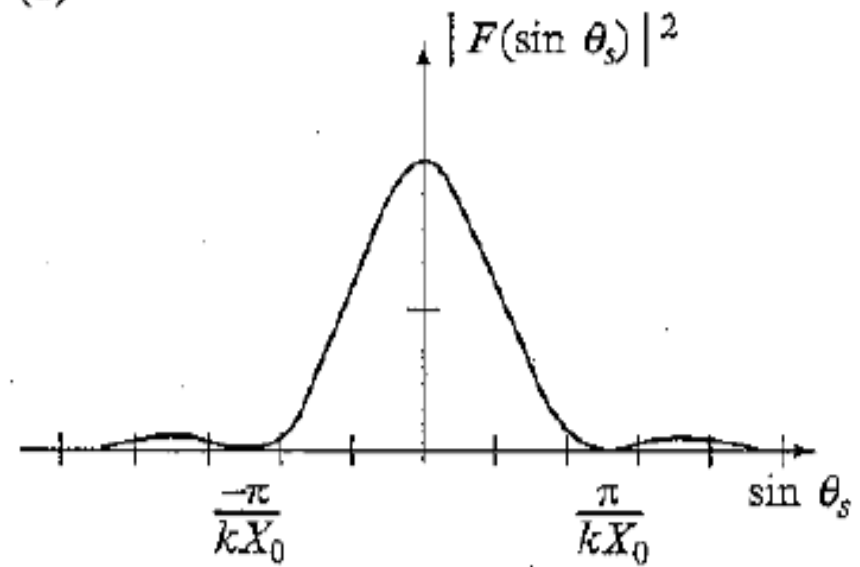
$$F(\sin \theta_s) = \int_{-\infty}^{\infty} f(x) e^{ikx \sin \theta_s} dx = \int_{-X_0}^{X_0} e^{ikx \sin \theta_s} dx = \left[\frac{e^{ikx \sin \theta_s}}{ik \sin \theta_s} \right]_{-X_0}^{X_0} = \frac{e^{ikX_0 \sin \theta_s} - e^{-ikX_0 \sin \theta_s}}{ik \sin \theta_s} = 2X_0 \frac{\sin(kX_0 \sin \theta_s)}{kX_0 \sin \theta_s}$$

$$|F(\sin \theta_s)|^2 = 4X_0^2 \frac{\sin^2(kX_0 \sin \theta_s)}{(kX_0 \sin \theta_s)^2}$$

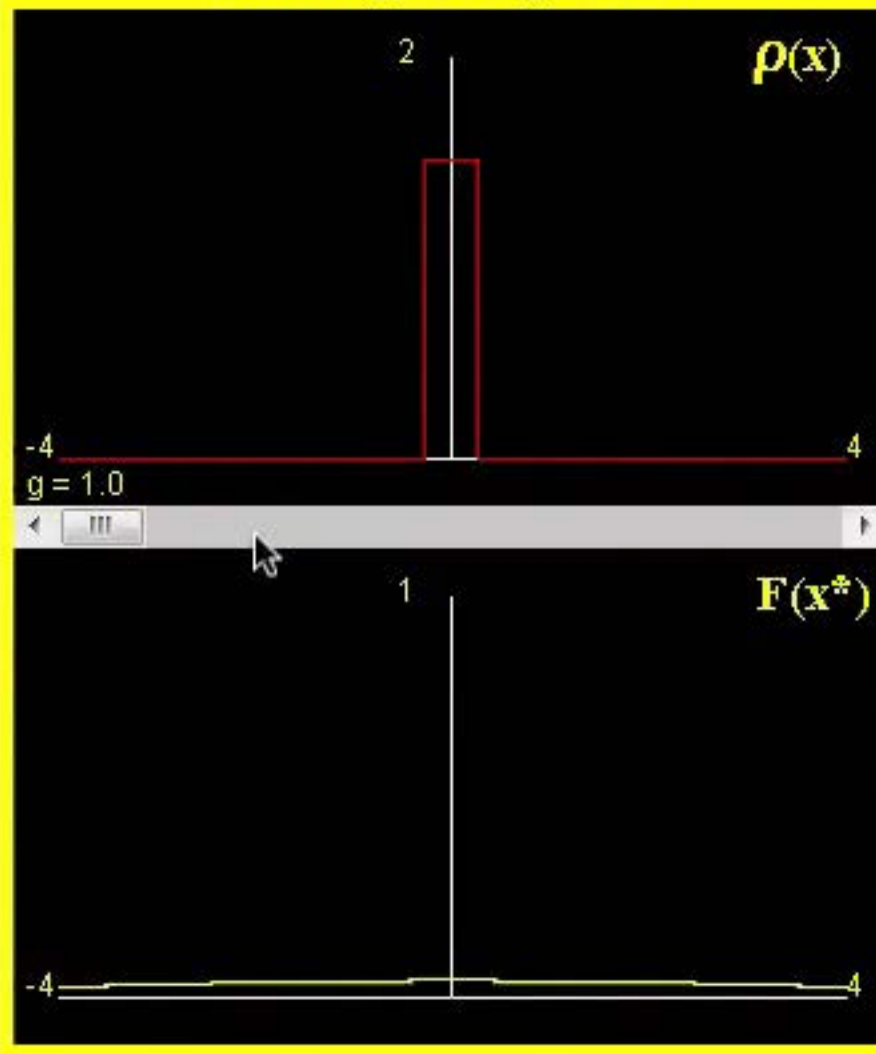
(a)



(b)



Rectangular aperture



Diffraction by two narrow slits

Two narrow slits are defined by:

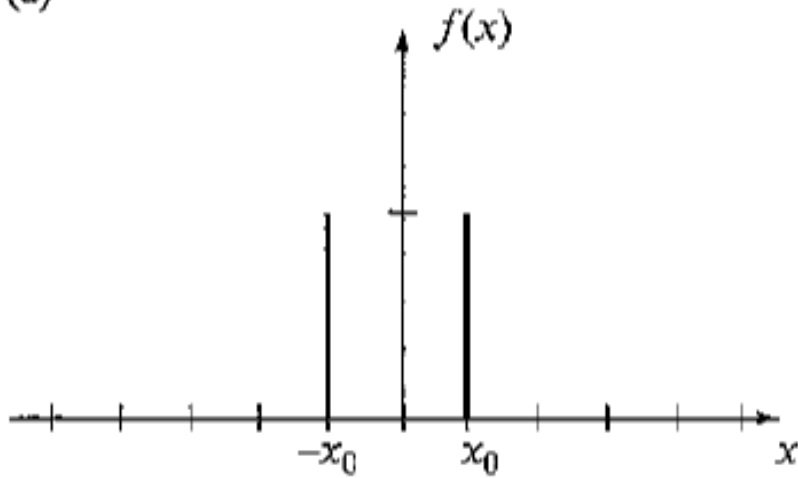
$$f(x) = \delta(x + x_0) + \delta(x - x_0) \text{ and } \delta(x_0) = +\infty \text{ and } \delta(-x_0) = +\infty$$

$$F(\sin \theta_s) = \int_{-\infty}^{\infty} f(x) e^{ikx \sin \theta_s} dx = 2 \cos(kx_0 \sin \theta_s)$$

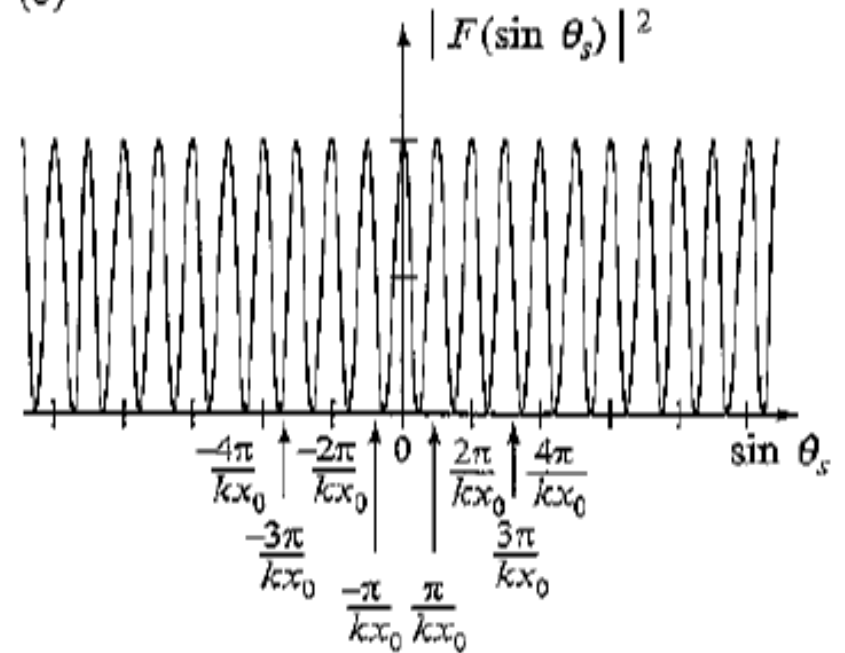
$$F(\sin \theta_s) = 2 \cos(kx_0 \sin \theta_s)$$

$$|F(\sin \theta_s)|^2 = 4 \cos^2(kx_0 \sin \theta_s)$$

(a)



(b)



Diffraction by Two Wide Slits

$$f(x) = 0 \text{ if } -\infty < x < -(x_0 + X_0)$$

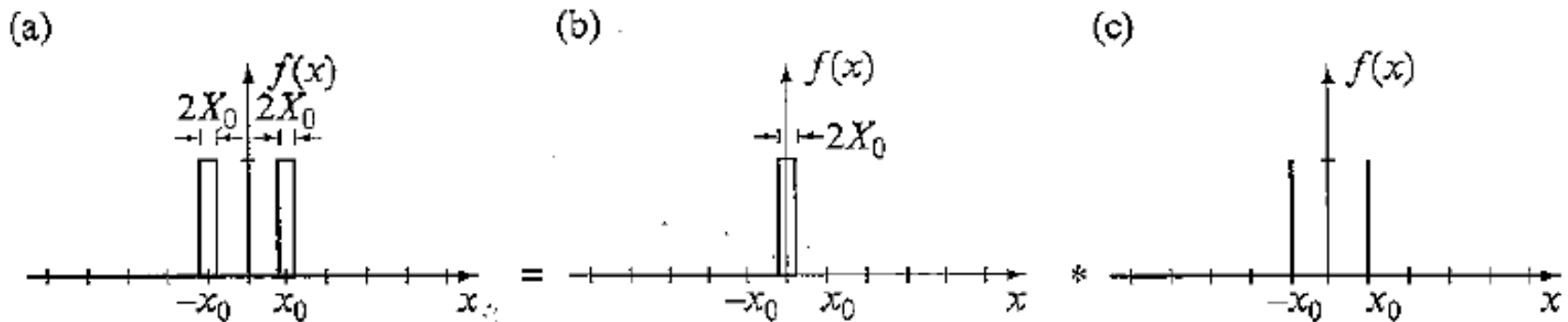
$$f(x) = 1 \text{ if } -(x_0 + X_0) \leq x \leq -(x_0 - X_0)$$

$$f(x) = 0 \text{ if } -(x_0 - X_0) < x < (x_0 - X_0)$$

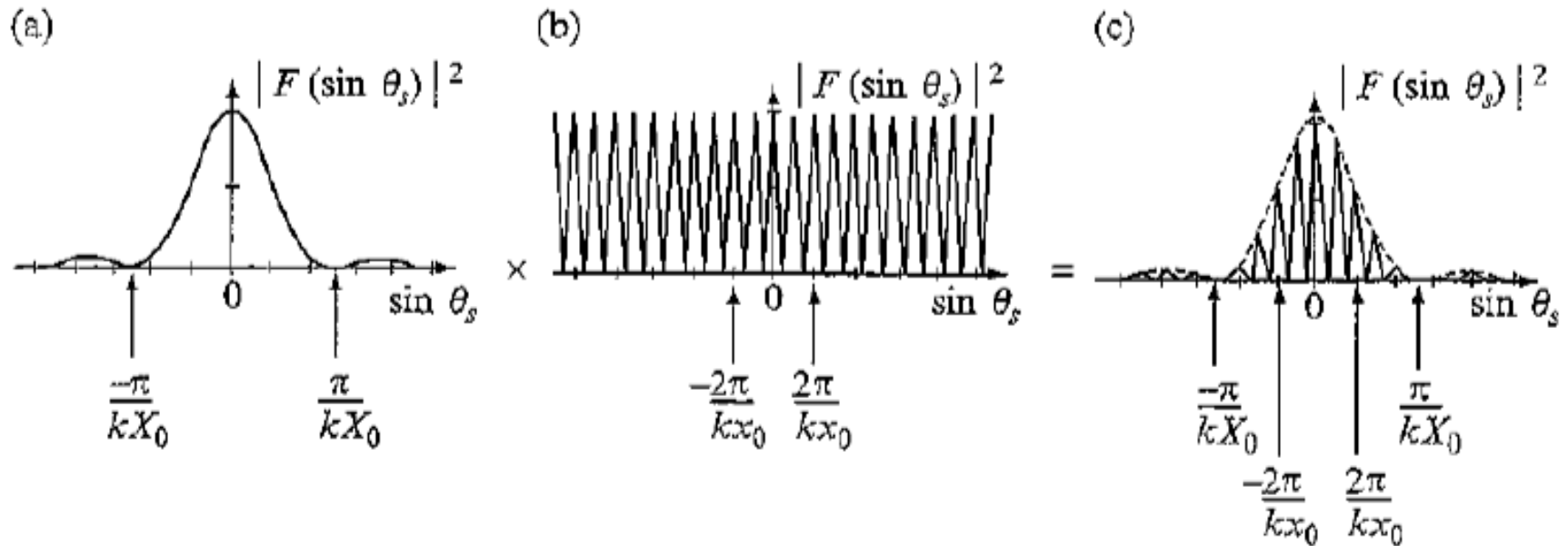
$$f(x) = 1 \text{ if } (x_0 - X_0) \leq x \leq (x_0 + X_0)$$

$$f(x) = 0 \text{ if } (x_0 + X_0) < x < \infty$$

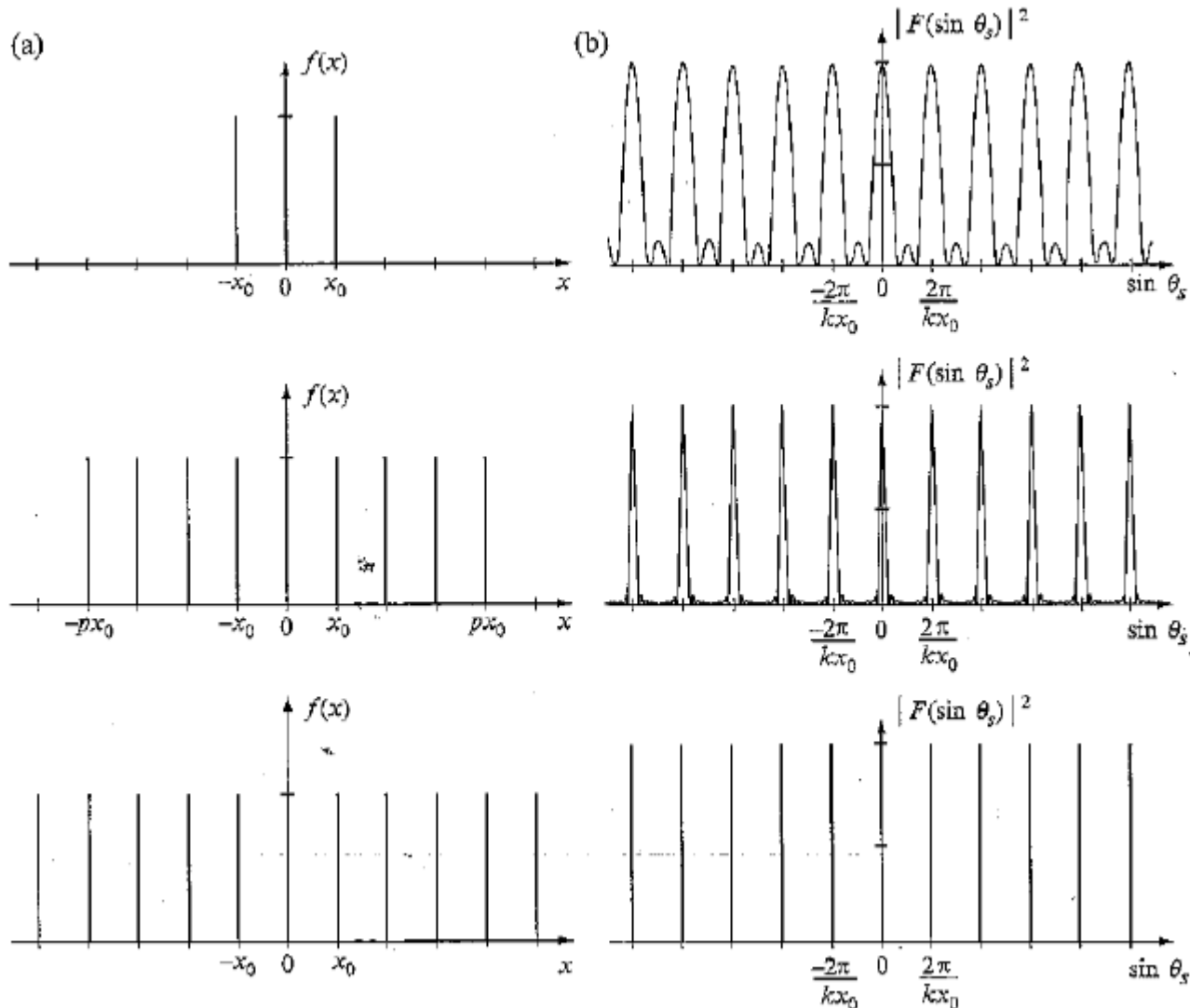
$$f(\text{two wide slits}) = f(\text{one wide slit}) * f(\text{two narrow slits})$$

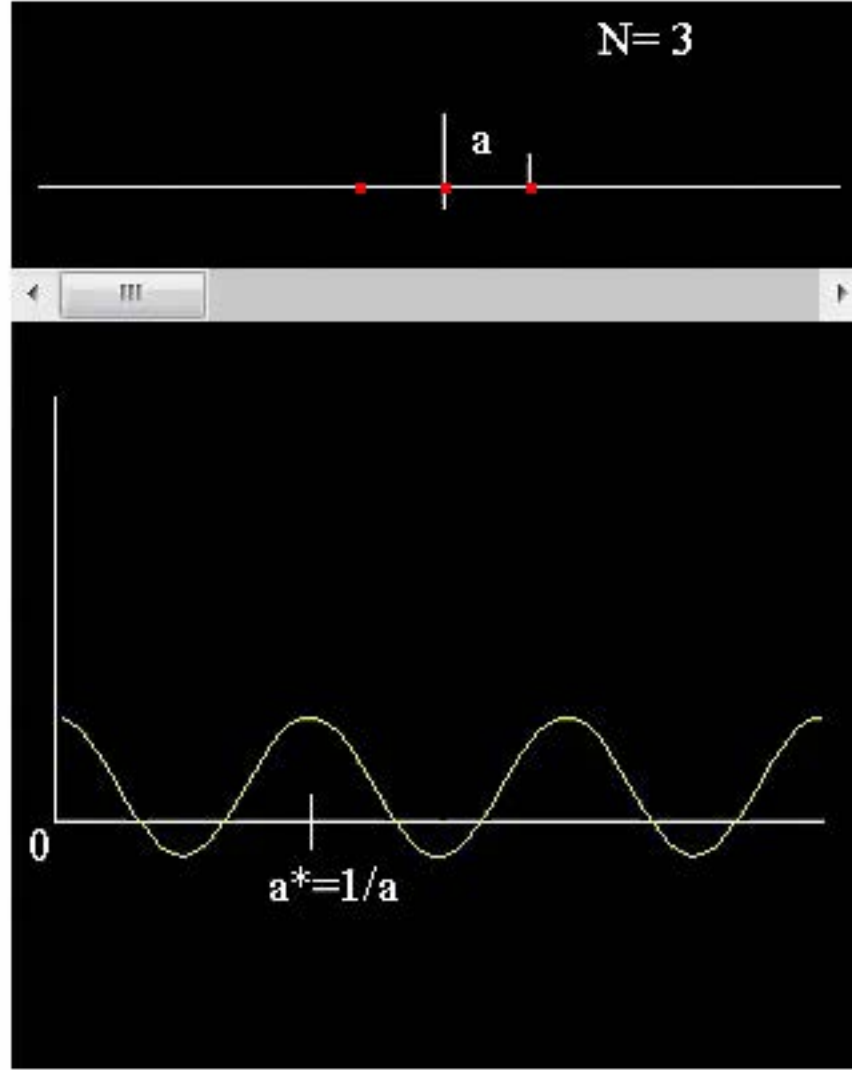


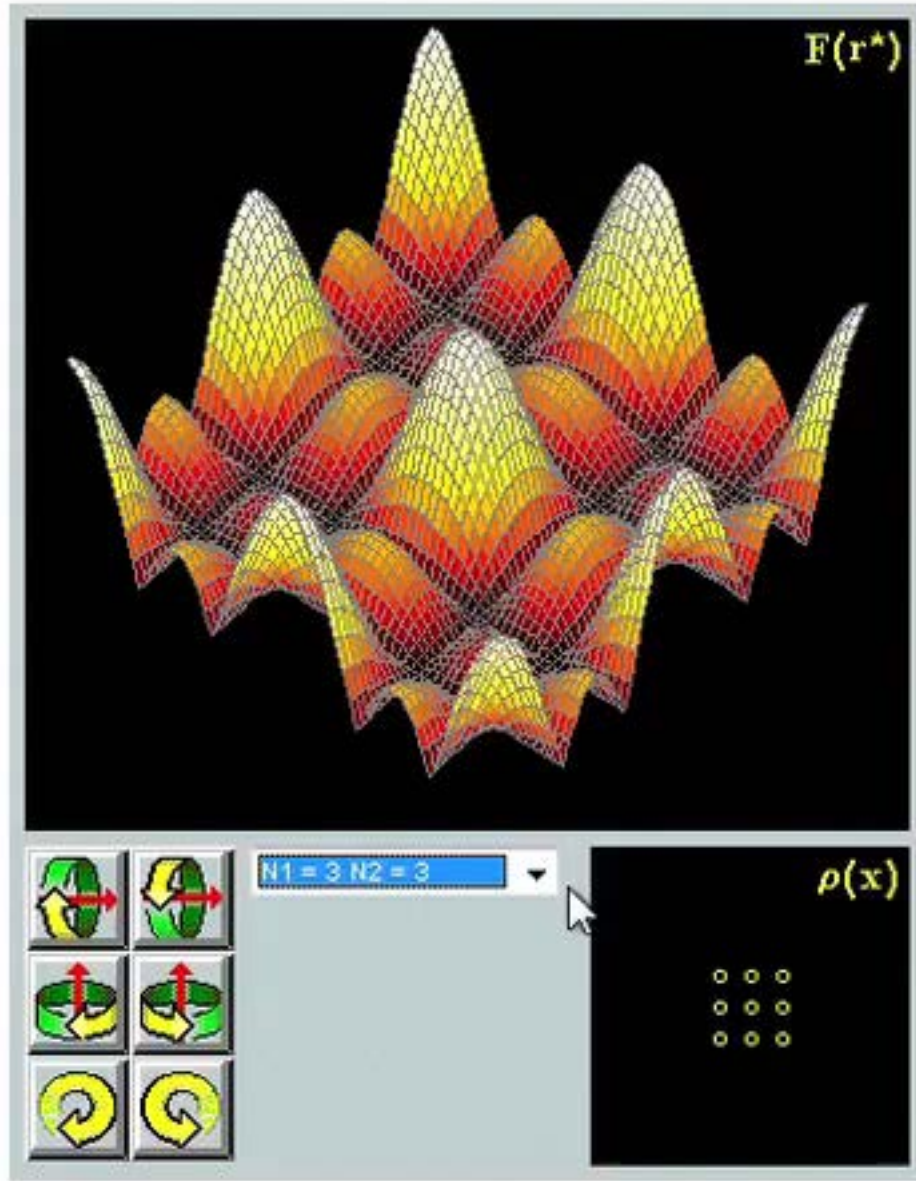
Diffraction by Two Wide Slits



Diffraction by N Narrow Slits

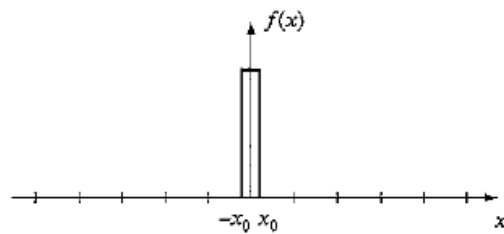




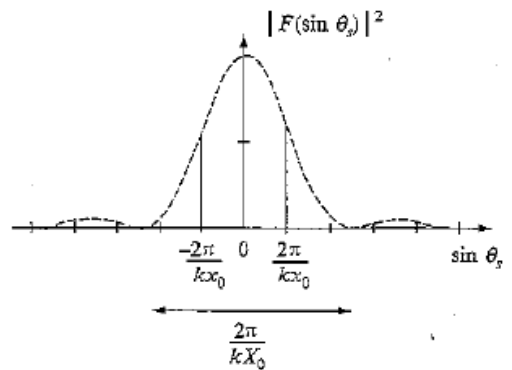
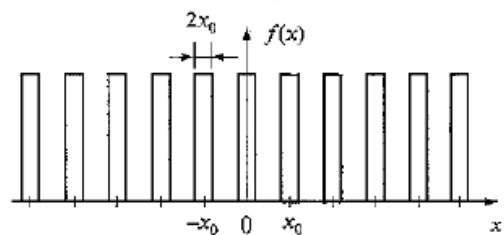
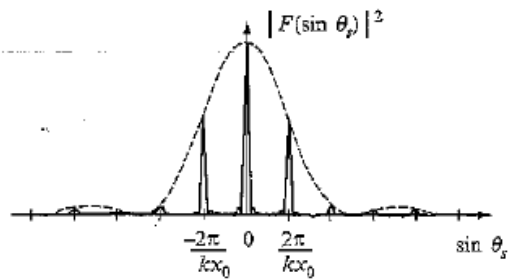
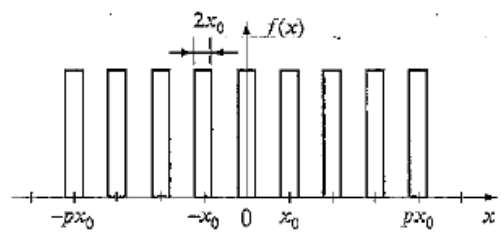
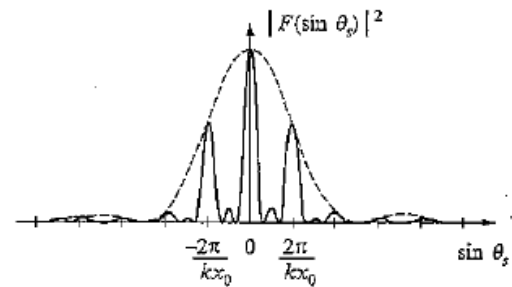
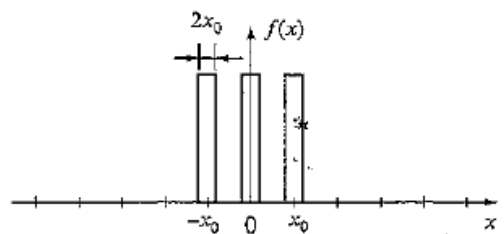
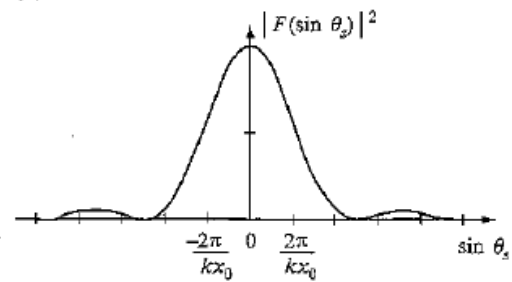


- The position of the main peaks in a diffraction pattern is determined solely by the lattice spacing of the object
- The shape of each main peak is determined by the overall shape of the object.
- The effect of the object (motif) is to alter the intensity of each main peak, but the positions of the main peaks remain unchanged.

(a)



(b)



- The positions of the main peaks give information about the lattice
- The shape of each main peak gives information on the overall object shape.
- The set of intensities of the main peaks gives information on the structure of the motif.

Diffraction by a 3D Lattice

$$\mathbf{r} = p\mathbf{a} + q\mathbf{b} + r\mathbf{c}$$

$$f(\mathbf{r}) = \sum_{\text{all } p,q,r} \delta(\mathbf{r} - [p\mathbf{a} + q\mathbf{b} + r\mathbf{c}])$$

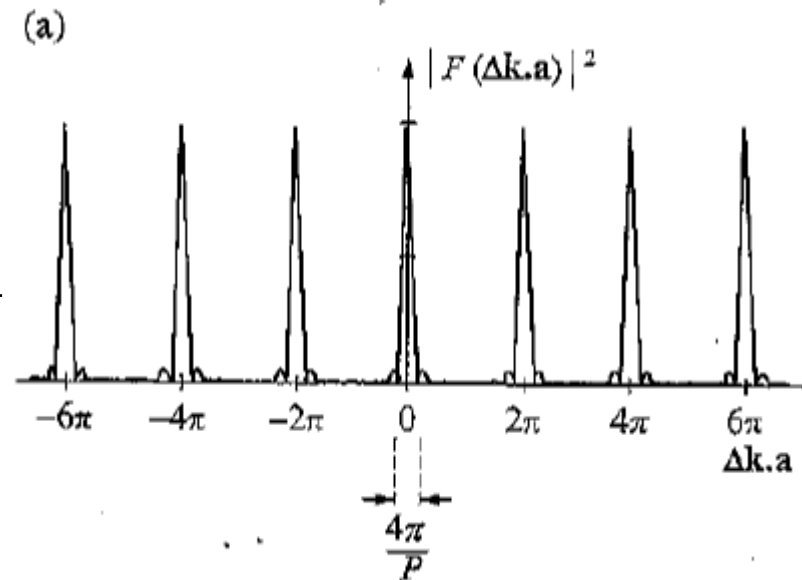
$$F(\Delta\mathbf{k}) = \int_{\text{all } \mathbf{r}} f(\mathbf{r}) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

$$F(\Delta\mathbf{k}) = \int_{\text{all } \mathbf{r}} \sum_{\text{all } p,q,r} \delta(\mathbf{r} - [p\mathbf{a} + q\mathbf{b} + r\mathbf{c}]) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

$$F(\Delta\mathbf{k}) = \sum_{\text{all } p,q,r} e^{i\Delta\mathbf{k} \cdot (p\mathbf{a} + q\mathbf{b} + r\mathbf{c})} = \sum_{\text{all } p,q,r} e^{ip\Delta\mathbf{k} \cdot \mathbf{a}} \cdot e^{iq\Delta\mathbf{k} \cdot \mathbf{b}} \cdot e^{ir\Delta\mathbf{k} \cdot \mathbf{c}}$$

$$F(\Delta\mathbf{k}) = \sum_{\text{all } p} e^{ip\Delta\mathbf{k} \cdot \mathbf{a}} \cdot \sum_{\text{all } q} e^{iq\Delta\mathbf{k} \cdot \mathbf{b}} \cdot \sum_{\text{all } r} e^{ir\Delta\mathbf{k} \cdot \mathbf{c}}$$

$$|F(\Delta\mathbf{k})|^2 = \frac{\sin^2 \frac{P\Delta\mathbf{k} \cdot \mathbf{a}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2}} \cdot \frac{\sin^2 \frac{Q\Delta\mathbf{k} \cdot \mathbf{b}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{b}}{2}} \cdot \frac{\sin^2 \frac{R\Delta\mathbf{k} \cdot \mathbf{c}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{c}}{2}}$$



$$|F(\Delta\mathbf{k})|^2 = \frac{\sin^2 \frac{P\Delta\mathbf{k} \cdot \mathbf{a}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2}} \cdot \frac{\sin^2 \frac{Q\Delta\mathbf{k} \cdot \mathbf{b}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{b}}{2}} \cdot \frac{\sin^2 \frac{R\Delta\mathbf{k} \cdot \mathbf{c}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{c}}{2}}$$

We see maxima when

$\Delta\mathbf{k} \cdot \mathbf{a} = 2h\pi$ where h is a positive or negative integer

The first zero occurs at:

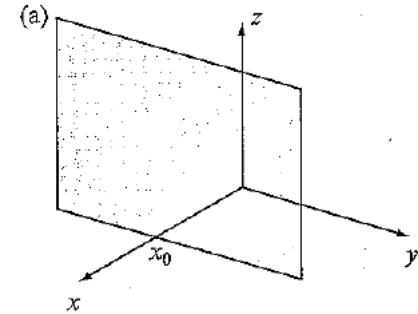
$$P \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2} = \pm\pi \text{ and the peak width is } \Delta(\Delta\mathbf{k} \cdot \mathbf{a}) = \frac{4\pi}{P}$$

As P , Q and R tend to infinity the functions become δ functions:

$$|F(\Delta\mathbf{k})|^2 = \left[\sum_{\text{all } h} \delta(\Delta\mathbf{k} \cdot \mathbf{a} - 2h\pi) \right]^2 \cdot \left[\sum_{\text{all } k} \delta(\Delta\mathbf{k} \cdot \mathbf{b} - 2k\pi) \right]^2 \cdot \left[\sum_{\text{all } l} \delta(\Delta\mathbf{k} \cdot \mathbf{c} - 2l\pi) \right]^2$$

Each term $\delta(\Delta\mathbf{k} \cdot \mathbf{a} - 2h\pi)$ represents a plane and each summation represents a series of planes.

All three summations thus represents a three sets of parallel planes with each intersection of three planes representing a lattice point.



$$|F(\Delta\mathbf{k})|^2 = \frac{\sin^2 \frac{P\Delta\mathbf{k} \cdot \mathbf{a}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2}} \cdot \frac{\sin^2 \frac{Q\Delta\mathbf{k} \cdot \mathbf{b}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{b}}{2}} \cdot \frac{\sin^2 \frac{R\Delta\mathbf{k} \cdot \mathbf{c}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{c}}{2}}$$

We see maxima when

$\Delta\mathbf{k} \cdot \mathbf{a} = 2h\pi$ where h is a positive or negative integer

The first zero occurs at:

$$P \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2} = \pm\pi \text{ and the peak width is } \Delta(\Delta\mathbf{k} \cdot \mathbf{a}) = \frac{4\pi}{P}$$

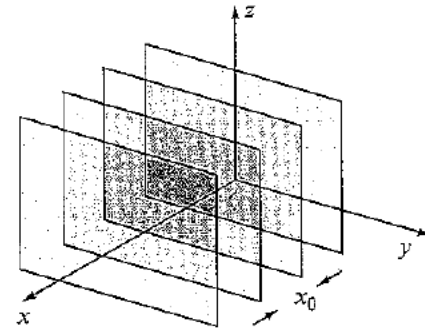
As P , Q and R tend to infinity the functions become δ functions:

$$|F(\Delta\mathbf{k})|^2 = \left[\sum_{\text{all } h} \delta(\Delta\mathbf{k} \cdot \mathbf{a} - 2h\pi) \right]^2 \cdot \left[\sum_{\text{all } k} \delta(\Delta\mathbf{k} \cdot \mathbf{b} - 2k\pi) \right]^2 \cdot \left[\sum_{\text{all } l} \delta(\Delta\mathbf{k} \cdot \mathbf{c} - 2l\pi) \right]^2$$

Each term $\delta(\Delta\mathbf{k} \cdot \mathbf{a} - 2h\pi)$ represents a plane and each summation represents a series of planes.

All three summations thus represents a three sets of parallel planes with each intersection of three planes representing a lattice point.

(b)



$$|F(\Delta\mathbf{k})|^2 = \frac{\sin^2 \frac{P\Delta\mathbf{k} \cdot \mathbf{a}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2}} \cdot \frac{\sin^2 \frac{Q\Delta\mathbf{k} \cdot \mathbf{b}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{b}}{2}} \cdot \frac{\sin^2 \frac{R\Delta\mathbf{k} \cdot \mathbf{c}}{2}}{\sin^2 \frac{\Delta\mathbf{k} \cdot \mathbf{c}}{2}}$$

We see maxima when

$\Delta\mathbf{k} \cdot \mathbf{a} = 2h\pi$ where h is a positive or negative integer

The first zero occurs at:

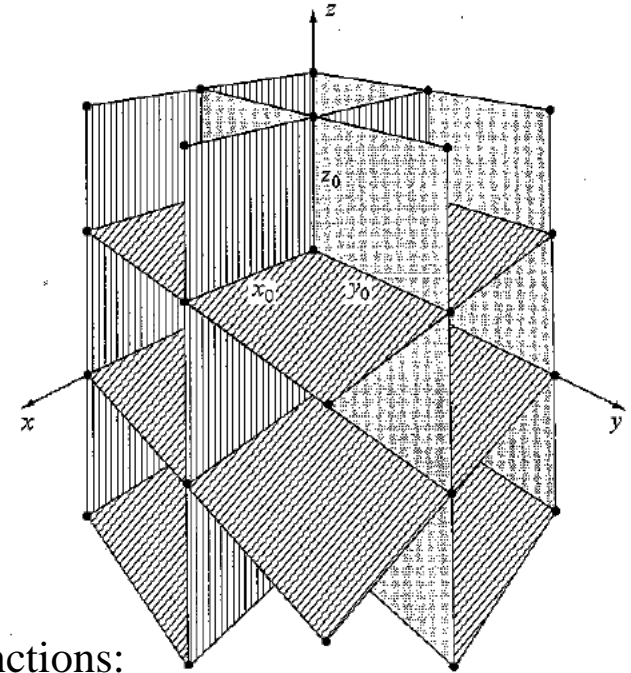
$$P \frac{\Delta\mathbf{k} \cdot \mathbf{a}}{2} = \pm\pi \text{ and the peak width is } \Delta(\Delta\mathbf{k} \cdot \mathbf{a}) = \frac{4\pi}{P}$$

As P , Q and R tend to infinity the functions become δ functions:

$$|F(\Delta\mathbf{k})|^2 = \left[\sum_{\text{all } h} \delta(\Delta\mathbf{k} \cdot \mathbf{a} - 2h\pi) \right]^2 \cdot \left[\sum_{\text{all } k} \delta(\Delta\mathbf{k} \cdot \mathbf{b} - 2k\pi) \right]^2 \cdot \left[\sum_{\text{all } l} \delta(\Delta\mathbf{k} \cdot \mathbf{c} - 2l\pi) \right]^2$$

Each term $\delta(\Delta\mathbf{k} \cdot \mathbf{a} - 2h\pi)$ represents a plane and each summation represents a series of planes.

All three summations thus represents a three sets of parallel planes with each intersection of three planes representing a lattice point.



The Reciprocal Lattice

$$\mathbf{a}^* \cdot \mathbf{a} = 1, \mathbf{b}^* \cdot \mathbf{a} = 0, \mathbf{c}^* \cdot \mathbf{a} = 0$$

$$\mathbf{a}^* \cdot \mathbf{b} = 0, \mathbf{b}^* \cdot \mathbf{b} = 1, \mathbf{c}^* \cdot \mathbf{b} = 0$$

$$\mathbf{a}^* \cdot \mathbf{c} = 0, \mathbf{b}^* \cdot \mathbf{c} = 0, \mathbf{c}^* \cdot \mathbf{c} = 1$$

Since $\mathbf{b}^* \cdot \mathbf{a} = 0$ and $\mathbf{c}^* \cdot \mathbf{a} = 0$, \mathbf{a}^* must be perpendicular to \mathbf{b} and \mathbf{c} .

$$\mathbf{a}^* = \alpha(\mathbf{b} \wedge \mathbf{c})$$

and

$$\mathbf{a}^* \cdot \mathbf{a} = 1$$

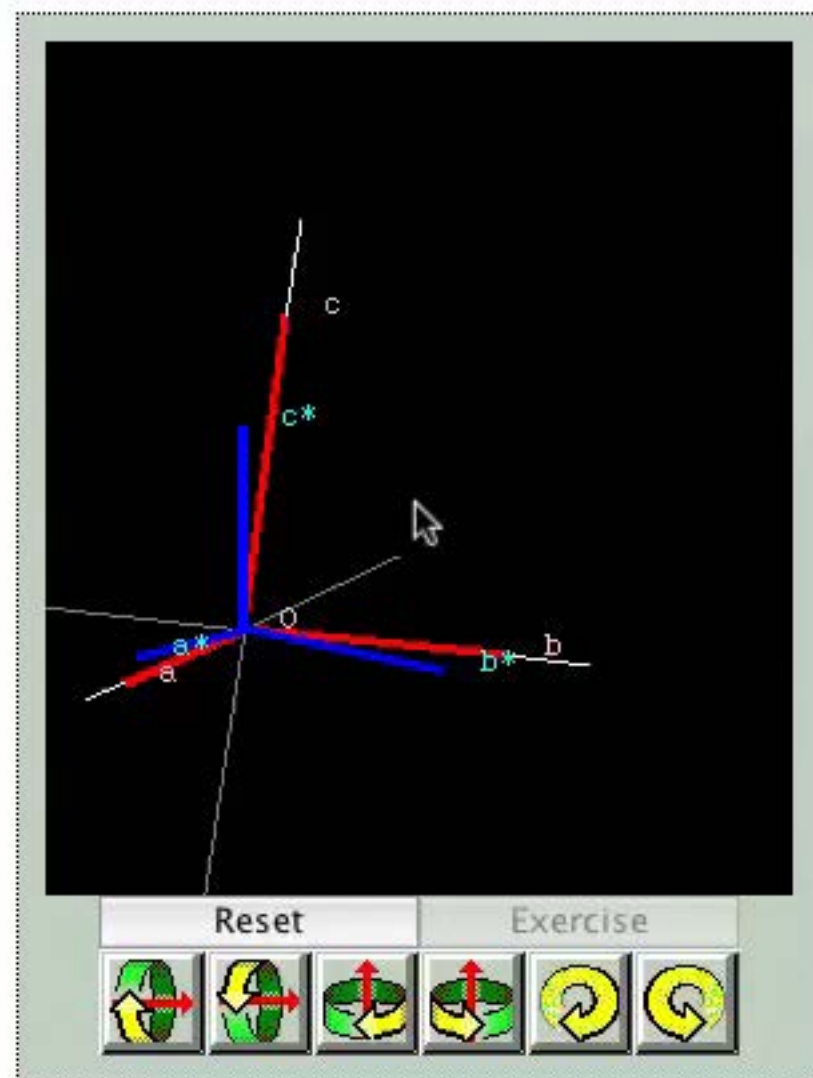
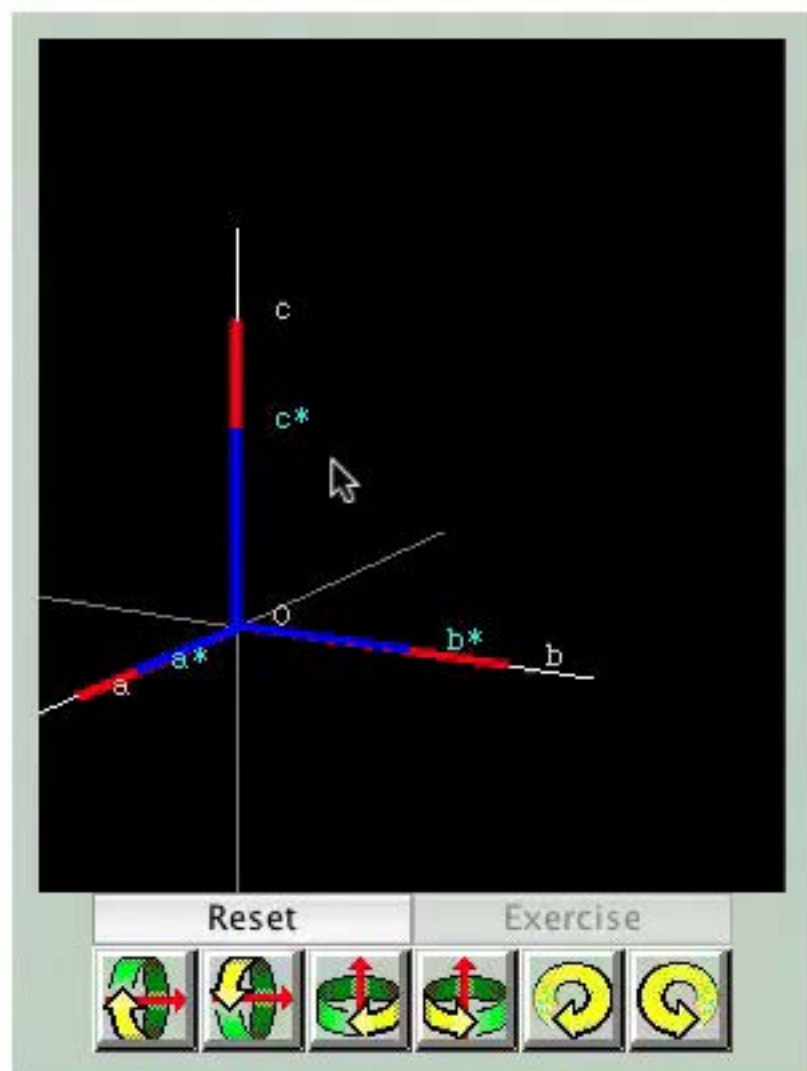
so

$$\mathbf{a} \cdot \alpha(\mathbf{b} \wedge \mathbf{c}) = 1 \text{ and } \mathbf{a} \cdot (\mathbf{b} \wedge \mathbf{c}) = V,$$

so

$$\alpha = \frac{1}{V}$$

$$\mathbf{a}^* = \frac{\mathbf{b} \wedge \mathbf{c}}{\mathbf{a} \cdot (\mathbf{b} \wedge \mathbf{c})} = \frac{\mathbf{b} \wedge \mathbf{c}}{V}, \mathbf{b}^* = \frac{\mathbf{c} \wedge \mathbf{a}}{\mathbf{a} \cdot (\mathbf{b} \wedge \mathbf{c})} = \frac{\mathbf{c} \wedge \mathbf{a}}{V}, \mathbf{c}^* = \frac{\mathbf{a} \wedge \mathbf{b}}{\mathbf{a} \cdot (\mathbf{b} \wedge \mathbf{c})} = \frac{\mathbf{a} \wedge \mathbf{b}}{V}$$



Diffraction by a 3D Object

$$e^{i(\mathbf{k} \cdot \mathbf{r}_1 - \omega t)} \text{ and } f(\mathbf{r}_1) d\mathbf{r}_1$$

$$\text{diffracted wave from element } d\mathbf{r}_1 = f(\mathbf{r}_1) e^{i(\mathbf{k} \cdot \mathbf{r}_1 - \omega t)} d\mathbf{r}_1$$

$$\text{diffracted wave from element } d\mathbf{r}_2 = f(\mathbf{r}_2) e^{i(\mathbf{k} \cdot \mathbf{r}_2 - \omega t)} d\mathbf{r}_2$$

$$\text{diffracted wave from all elements } d\mathbf{r}_n = \sum_n f(\mathbf{r}_n) e^{i(\mathbf{k} \cdot \mathbf{r}_n - \omega t)} d\mathbf{r}_n$$

$$\text{diffraction pattern} = \int_V f(\mathbf{r}) e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} d\mathbf{r}$$

$$\text{diffraction pattern} = e^{-i\omega t} \int_V f(\mathbf{r}) e^{i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

$$\text{diffraction pattern} = \int_V f(\mathbf{r}) e^{i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

If \mathbf{r} is outside the object, $f(\mathbf{r}) = 0$

$$\text{diffraction pattern} = \int_{\text{all } \mathbf{r}} f(\mathbf{r}) e^{i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r} \Rightarrow T[f(\mathbf{r})]$$

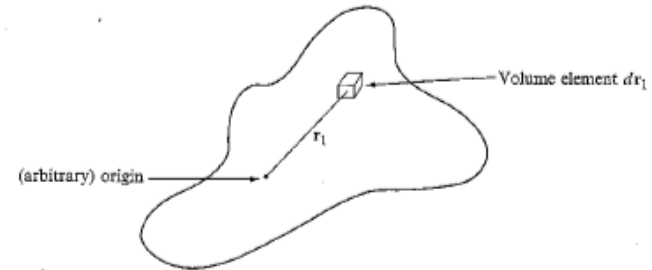


Fig. 6.5 Diffraction by a volume element. The volume element $d\mathbf{r}_1$ centred on \mathbf{r}_1 affects the waves in a manner which may be represented by the function $f(\mathbf{r}) d\mathbf{r}_1$. A wave $e^{i(\mathbf{k} \cdot \mathbf{r}_1 - \omega t)}$ is propagated, and the mathematical expression for the contribution of the volume element $d\mathbf{r}_1$ to the overall diffraction pattern must be some mathematical combination of $f(\mathbf{r}) d\mathbf{r}_1$ and $e^{i(\mathbf{k} \cdot \mathbf{r}_1 - \omega t)}$.

Diffraction by the Motif

- In this section we will:
 - Learn scattering (diffraction) by a single electron
 - Learn scattering by a group of electrons
 - Define the electron density function
 - Define the structure factor
 - Define the atomic scattering factor
 - Define Friedel's Law and when it fails
 - What the effect of translational symmetry has on the diffraction pattern
 - Look at a real example of scattering by a motif

Thomson Scattering by a Single Electron

$$\mathbf{a} = \frac{e}{m} \mathbf{E}_{in}$$

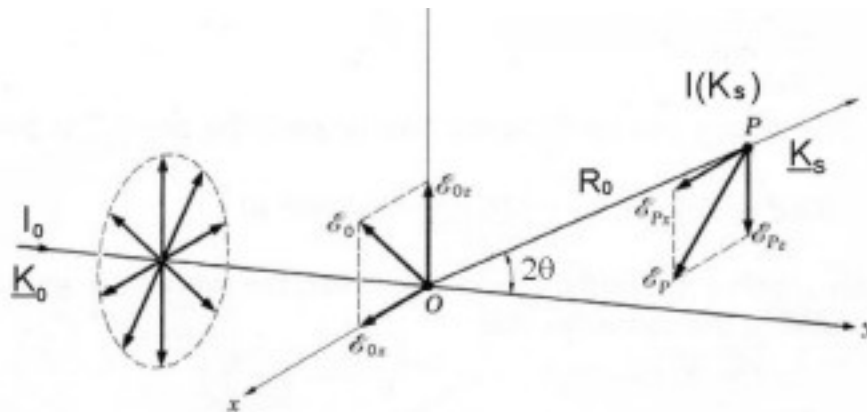
$$\frac{E_{scat}}{E_{in}} = \frac{e^2}{4\pi\epsilon_0 r m c^2} \sqrt{\frac{1 + \cos^2 2\theta}{2}}$$

$$\epsilon_0 = 8.854 \times 10^{-12} \text{ F} \cdot \text{m}^{-1}$$

c = speed of light

r = radius of interaction

θ = Bragg angle



Thomson Scattering by a Group of Electrons (I)

$$\frac{E_{scat}}{E_{in}} = \frac{e^2}{4\pi\epsilon_0 r m c^2} \sqrt{\frac{1 + \cos^2 2\theta}{2}}$$

$$f_e = \frac{e^2}{4\pi\epsilon_0 r m c^2}$$

$$\frac{E_{scat}}{E_{in}} = f_e \sqrt{\frac{1 + \cos^2 2\theta}{2}}$$

but if the beam is polarized we can write:

$$\frac{E_{scat}}{E_{in}} = f_e p(2\theta)$$

where $p(2\theta)$ is the polarization factor.

For now let's ignore $p(2\theta)$.

Thomson Scattering by a Group of Electrons (II)

$$(E_{scat})_A = f_e E_{in}$$

$$(E_{scat})_B = f_e E_{in} e^{i\phi}$$

$$(E_{scat})_{tot} = (E_{scat})_A + (E_{scat})_B$$

$$\frac{(E_{scat})_{tot}}{E_{in}} = f_e + f_e e^{i\phi}$$

$$\frac{(E_{scat})_{tot}}{E_{in}} = \sum_n f_e e^{i\phi_n}$$

Remember

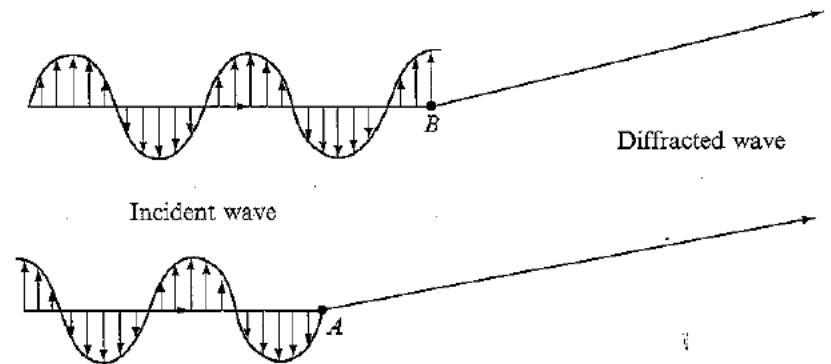
$$F(\Delta\mathbf{k}) = \int_{\text{all } r} f(\mathbf{r}) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

The amplitude function of a group of electrons is

$$f_e \rho(\mathbf{r})$$

Substituting into $F(\Delta\mathbf{k})$ gives

$$F(\Delta\mathbf{k}) = \int_{\text{all } r} f_e \rho(\mathbf{r}) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$



Thomson Scattering by a Group of Electrons (III) or the Motif

$$F(\Delta\mathbf{k}) = \int_{\text{all } r} f_e \rho(\mathbf{r}) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

$$F(\Delta\mathbf{k}) = f_e \int_{\text{unit cell}} \rho(\mathbf{r}) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r} \text{ or } F_{\text{rel}}(\Delta\mathbf{k}) = \int_{\text{unit cell}} \rho(\mathbf{r}) e^{i\Delta\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$$

Let's define coordinates of the unit cell as follows:

$$0 \leq X \leq a, \quad 0 \leq Y \leq b, \quad 0 \leq Z \leq c$$

$$x = \frac{X}{a}, \quad y = \frac{Y}{b}, \quad z = \frac{Z}{c} \text{ and } 0 \leq x \leq 1, \quad 0 \leq y \leq 1, \quad 0 \leq z \leq 1$$

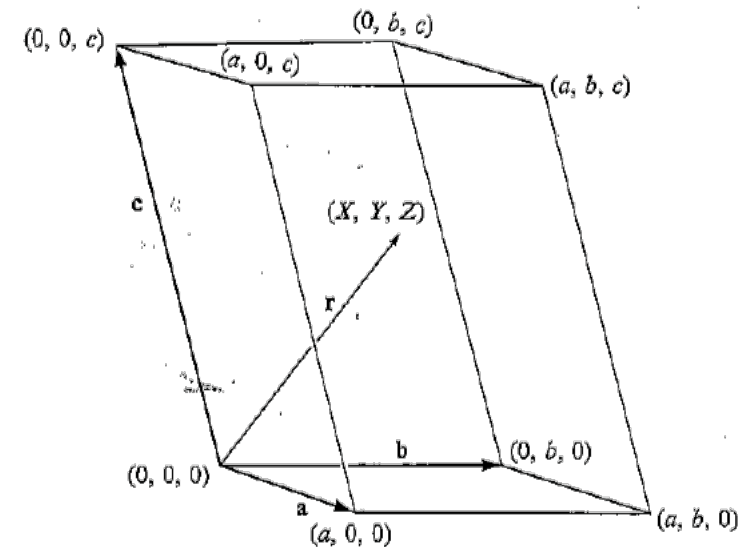
X , Y and Z represent absolute coordinates and

x , y and z represent fractional coordinates.

$$\mathbf{r} = x\mathbf{a} + y\mathbf{b} + z\mathbf{c}$$

$$d\mathbf{r} = dx \, dy \, dz \, \mathbf{a} \cdot \mathbf{b} \wedge \mathbf{c} = V \, dx \, dy \, dz$$

$$\rho(\mathbf{r}) \text{ becomes } \rho(x, y, z)$$



Thomson Scattering by a Group of Electrons (IV) or the Motif

$$F_{rel}(\Delta\mathbf{k}) = V \int_{x=0}^{x=1} \int_{y=0}^{y=1} \int_{z=0}^{z=1} \rho(x, y, z) e^{i\Delta\mathbf{k} \cdot (x\mathbf{a} + y\mathbf{b} + z\mathbf{c})} dx dy dz$$

$$\Delta\mathbf{k} = 2\pi\mathbf{S}_{hkl}$$

$$\mathbf{S}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

$$\begin{aligned}\Delta\mathbf{k} \cdot \mathbf{r} &= 2\pi(h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*) \cdot (x\mathbf{a} + y\mathbf{b} + z\mathbf{c}) \\ &= 2\pi(hx + ky + lz)\end{aligned}$$

$$F_{rel}(\Delta\mathbf{k}) = F_{hkl} = V \int_0^1 \int_0^1 \int_0^1 \rho(x, y, z) e^{2\pi i(hx + ky + lz)} dx dy dz$$

$$F_{hkl} = |F_{hkl}| e^{i\phi_{hkl}}$$

$$I_{hkl} = |F_{hkl}|^2$$

The Electron Density Function

$$F_{rel}(\Delta\mathbf{k}) = F_{hkl} = V \int_{x=0}^{x=1} \int_{y=0}^{y=1} \int_{z=0}^{z=1} \rho(x, y, z) e^{i\Delta\mathbf{k} \cdot (x\mathbf{a} + y\mathbf{b} + z\mathbf{c})} dx dy dz$$

F_{hkl} is the Fourier transform of $\rho(x, y, z)$

$$\rho(x, y, z) = \frac{1}{V} \int_{\text{all } \Delta\mathbf{k}} F_{rel}(\Delta\mathbf{k}) e^{-i\Delta\mathbf{k} \cdot (x\mathbf{a} + y\mathbf{b} + z\mathbf{c})} d(\Delta\mathbf{k})$$

$$\rho(x, y, z) = \frac{1}{V} \int_{\text{all } \Delta\mathbf{k}} F_{rel}(\Delta\mathbf{k}) e^{-2\pi i(hx + ky + lz)} d(\Delta\mathbf{k})$$

But the hkl values are discrete so we can rewrite this as

$$\rho(x, y, z) = \frac{1}{V} \sum_h \sum_k \sum_l F_{hkl} e^{-2\pi i(hx + ky + lz)}$$

The Structure Factor (I)

$$F_{hkl} = V \int_{x=0}^{x=1} \int_{y=0}^{y=1} \int_{z=0}^{z=1} \rho(x, y, z) e^{i\Delta\mathbf{k} \cdot (x\mathbf{a} + y\mathbf{b} + z\mathbf{c})} dx dy dz$$

$$\rho(x, y, z) = \frac{1}{V} \sum_h \sum_k \sum_l F_{hkl} e^{-2\pi i(hx + ky + lz)}$$

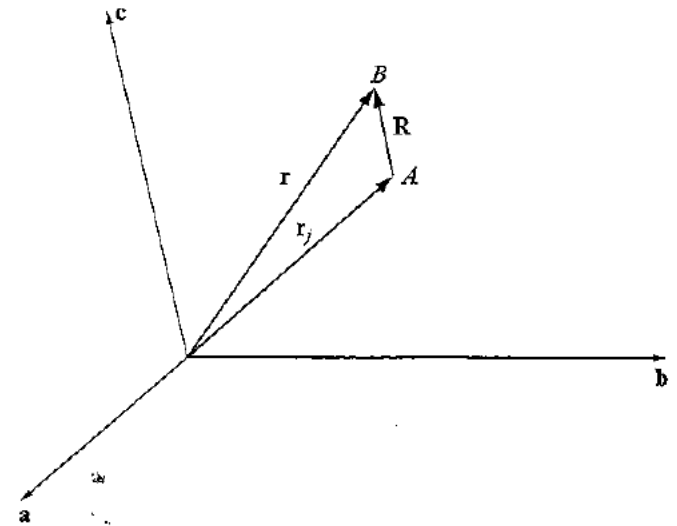
$$\mathbf{r} = x\mathbf{a} + y\mathbf{b} + z\mathbf{c}$$

$$F_{hkl} = \int_{\text{unit cell}}^{x=1} \rho(\mathbf{r}) e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}} d\mathbf{r}$$

$$\mathbf{r} = \mathbf{r}_j + \mathbf{R}$$

$$\rho(\mathbf{r}) = \sum_j \rho_j(\mathbf{r} - \mathbf{r}_j)$$

$$F_{hkl} = \int_{\text{unit cell}}^{x=1} \sum_j \rho_j(\mathbf{r} - \mathbf{r}_j) e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}} d\mathbf{r}$$



The Structure Factor (II)

$$F_{hkl} = \int_{\text{unit cell}} \sum_j \rho_j(\mathbf{r} - \mathbf{r}_j) e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}} d\mathbf{r}$$

Assume the positions of the atoms, \mathbf{r}_j , are constant, then $d\mathbf{r} = d\mathbf{R}$

$$F_{hkl} = \int_{\text{unit cell}} \sum_j \rho_j(\mathbf{R}) e^{2\pi i \mathbf{S}_{hkl} \cdot (\mathbf{r}_j + \mathbf{R})} d\mathbf{R}$$

$$F_{hkl} = \sum_j e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}_j} \int_{\text{atom}} \rho_j(\mathbf{R}) e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{R}} d\mathbf{R}$$

Let us define the atomic scattering factor, f_j

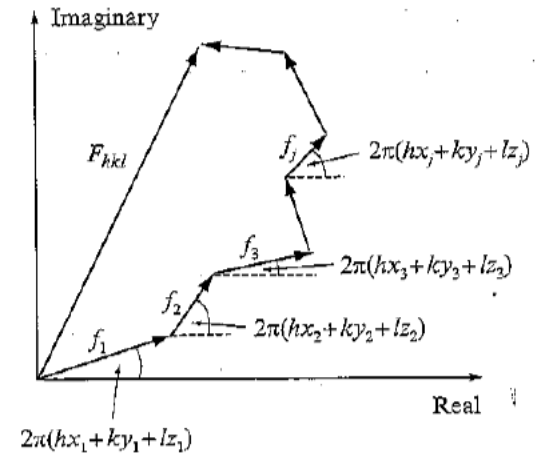
$$f_j = \int_{\text{atom}} \rho_j(\mathbf{R}) e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{R}} d\mathbf{R}$$

$$F_{hkl} = \sum_j f_j e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}_j}$$

$$\mathbf{r}_j = x_j \mathbf{a} + y_j \mathbf{b} + z_j \mathbf{c}$$

$$\mathbf{S}_{hkl} \cdot \mathbf{r}_j = (h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*) \cdot (x_j \mathbf{a} + y_j \mathbf{b} + z_j \mathbf{c}) = hx_j + ky_j + lz_j$$

$$F_{hkl} = \sum_j f_j e^{2\pi i (hx_j + ky_j + lz_j)}$$



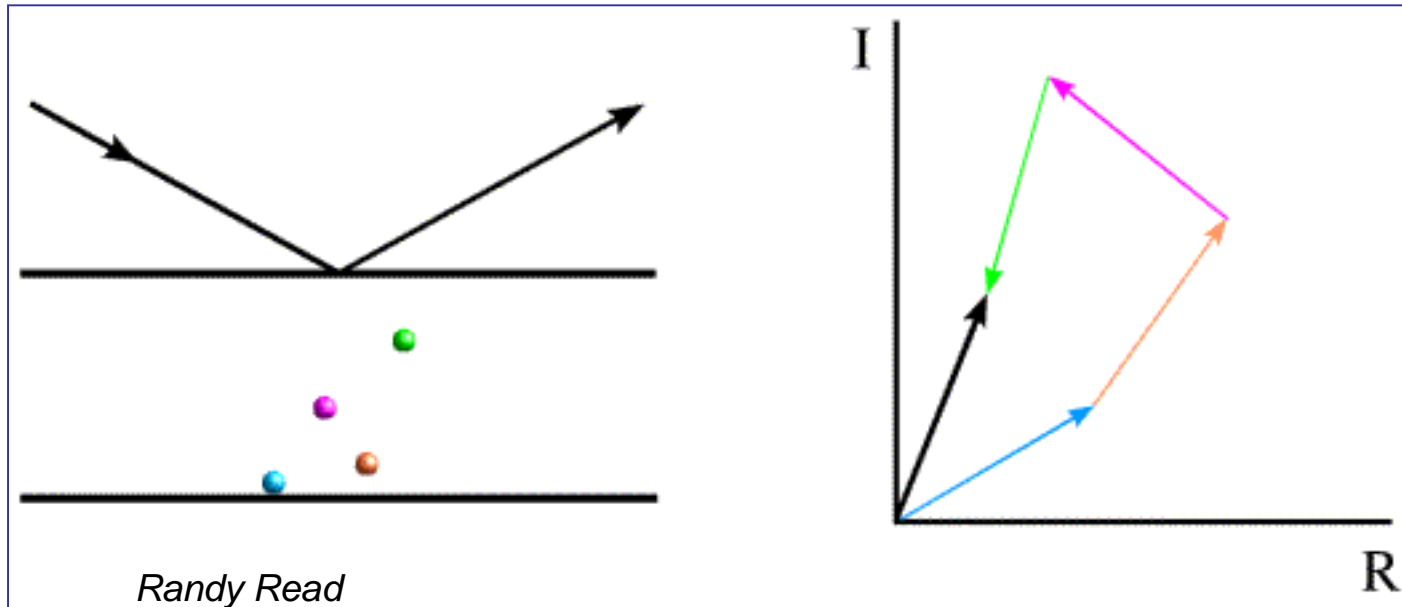
The Structure Factor (III)

$$F_{hkl} = V \int_{x=0}^{x=1} \int_{y=0}^{y=1} \int_{z=0}^{z=1} \rho(x, y, z) e^{i\Delta\mathbf{k} \cdot (\mathbf{x}\mathbf{a} + \mathbf{y}\mathbf{b} + \mathbf{z}\mathbf{c})} dx \, dy \, dz$$
$$F_{hkl} = \sum_j f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

- In the first equation the coordinates (x, y, z) refer to any position within the unit cell, whereas (x_j, y_j, z_j) in the second equation define the position of the atoms.
- $\rho(x, y, z)$ is a continuous function describing the overall electron density, f_j , is a property of each atom.
- The first equation requires an integration over the entire unit cell, but the second equation requires a summation over the positions of the atoms within the unit cell.

What does $F_{hkl} = \sum_j f_j e^{2\pi i(hx+ky+lz)} = |F_{hkl}| e^{i\varphi}$ mean?

- The **amplitude** of scattering depends on the number of electrons in each atom.
- The **phase** depends on the **fractional** distance it lies from the lattice plane.



Scattering from
lattice planes

Atomic structure factors
add as **complex numbers**,
or **vectors**.

The Atomic Scattering Factor

$$d\mathbf{R} = R^2 \sin \phi \, dR \, d\phi \, d\psi \text{ and } \mathbf{S}_{hkl} \cdot \mathbf{R} = S_{hkl} R \cos \phi$$

$$f_j = \int_{\text{atom}} \rho_j(\mathbf{R}) e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{R}} d\mathbf{R} = \int_{\text{atom}} \rho_j(R) e^{2\pi i S_{hkl} R \cos \phi} R^2 \sin \phi \, dR \, d\phi \, d\psi$$

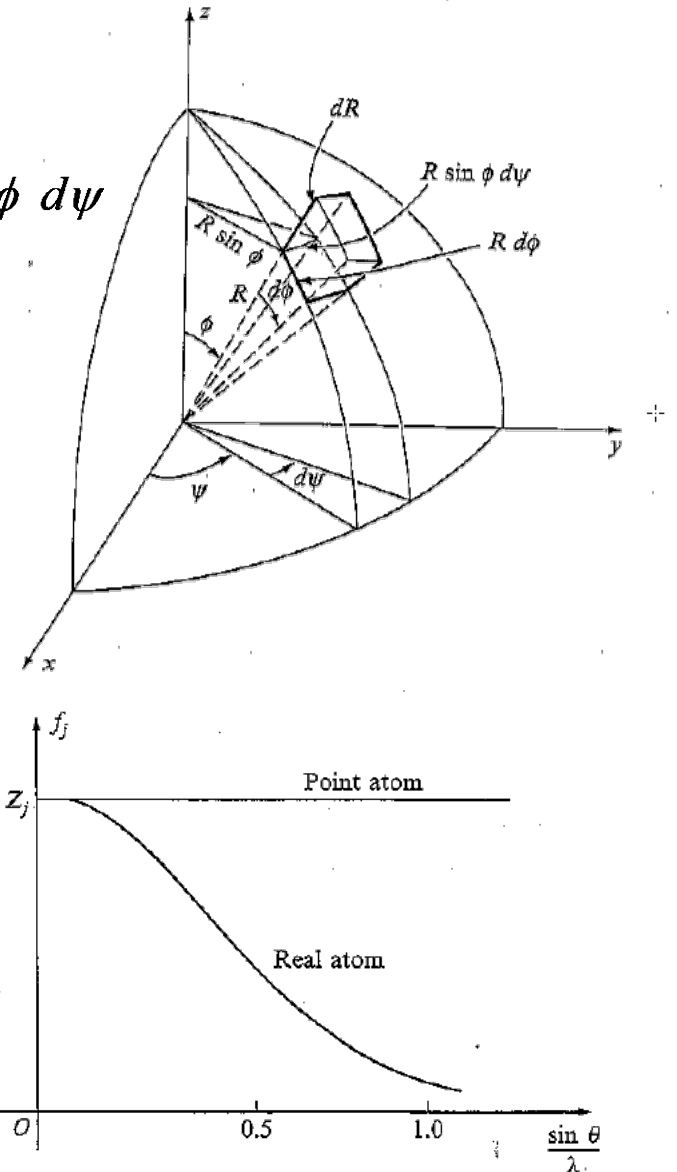
$$f_j = \int_{\psi=0}^{2\pi} \int_{\phi=0}^{\pi} \int_{R=0}^{\infty} \rho_j(R) e^{2\pi i S_{hkl} R \cos \phi} R^2 \sin \phi \, dR \, d\phi \, d\psi$$

$$f_j = 2\pi \int_0^{\infty} R^2 \rho_j(R) \left(\frac{e^{2\pi i S_{hkl} R} - e^{-2\pi i S_{hkl} R}}{2\pi i S_{hkl} R} \right) dR$$

$$f_j = 4\pi \int_0^{\infty} R^2 \rho_j(R) \left(\frac{\sin 2\pi S_{hkl} R}{2\pi S_{hkl} R} \right) dR$$

$$S_{hkl} = \frac{2 \sin \theta}{\lambda}$$

$$f_j = 4\pi \int_0^{\infty} R^2 \rho_j(R) \left(\frac{\sin \left(\frac{4\pi \sin \theta}{\lambda} R \right)}{\frac{4\pi \sin \theta}{\lambda} R} \right) dR$$



Correction for Thermal Motion (I)

$$F_{hkl} = \sum_j f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

$$F_{hkl} = \sum_j f_j e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}_j}$$

Consider a small random displacement about \mathbf{r}_j

$$F_{hkl} = \sum_j f_j e^{2\pi i \mathbf{S}_{hkl} \cdot (\mathbf{r}_j + \mathbf{u}_j)}$$

$$F_{hkl} = \sum_j f_j e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}_j} e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{u}_j}$$

Let us define \mathbf{u}_j as motion in the direction of \mathbf{S}_{hkl}

that is perpendicular to the plane hkl :

$\mathbf{S}_{hkl} \cdot \mathbf{u}_j$ becomes $S_{hkl} u_j$ and

$$F_{hkl} = \sum_j f_j e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}_j} e^{2\pi i S_{hkl} u_j}$$

F_{hkl} is measured over a long time

$$F_{hkl} = \sum_j f_j e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{r}_j} \overline{e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{u}_j}}$$

$$\overline{e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{u}_j}} \approx 1 + 2\pi i \overline{S_{hkl} u_j} - 2\pi^2 \overline{(S_{hkl} u_j)^2}$$

$$\overline{e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{u}_j}} \approx 1 + 2\pi i \overline{S_{hkl} u_j} - 2\pi^2 \overline{S_{hkl}^2 u_j^2}$$

$$\overline{e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{u}_j}} \approx 1 - 2\pi^2 \overline{S_{hkl}^2 u_j^2}$$

$$\overline{e^{2\pi i \mathbf{S}_{hkl} \cdot \mathbf{u}_j}} \approx e^{-2\pi^2 \overline{S_{hkl}^2 u_j^2}}$$

Correction for Thermal Motion (II)

$$-2\pi^2 S_{hkl}^2 \overline{u_j^2} = -2\pi^2 \left(\frac{2 \sin \theta}{\lambda} \right)^2 \overline{u_j^2}$$

$$-2\pi^2 S_{hkl}^2 \overline{u_j^2} = -8\pi^2 \left(\frac{\sin \theta}{\lambda} \right)^2 \overline{u_j^2}$$

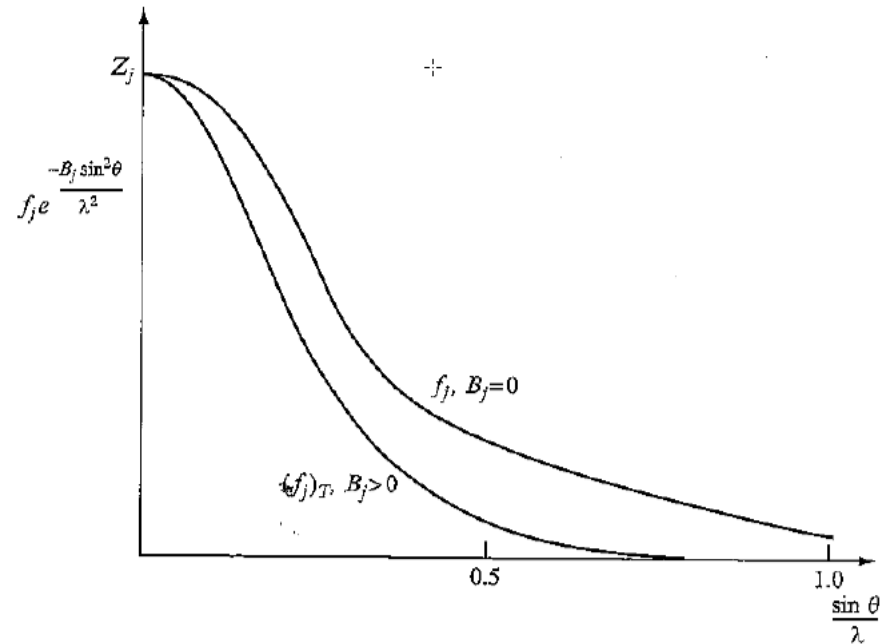
Let us define $B_j = 8\pi^2 \overline{u_j^2}$

$$e^{2\pi i S_{hkl} u_j} \approx e^{-B_j (2 \sin \theta / \lambda)^2}$$

$$(f_j)_T = f_j e^{-B_j (2 \sin \theta / \lambda)^2}$$

$$(F_{hkl})_T = \sum_j (f_j)_T e^{2\pi i (hx_j + ky_j + lz_j)}$$

$$(F_{hkl})_T = \sum_j f_j e^{-B_j (2 \sin \theta / \lambda)^2} e^{2\pi i (hx_j + ky_j + lz_j)}$$



Freidel's Law

Let's consider two centrosymmetrically disposed reflections:

$$F_{hkl} = \sum_j f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

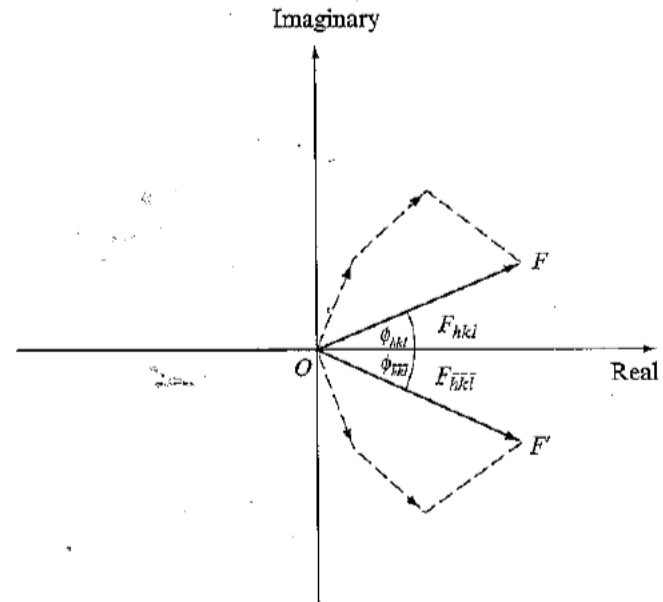
$$F_{\bar{h}\bar{k}\bar{l}} = \sum_j f_j e^{2\pi i(\bar{h}x_j + \bar{k}y_j + \bar{l}z_j)} = \sum_j f_j e^{-2\pi i(hx_j + ky_j + lz_j)}$$

$$F_{hkl}^* = F_{\bar{h}\bar{k}\bar{l}} \text{ and thus } |F_{hkl}| = |F_{hkl}^*| = |F_{\bar{h}\bar{k}\bar{l}}|$$

$$I_{hkl} = I_{\bar{h}\bar{k}\bar{l}} = |F_{hkl}|^2 = |F_{\bar{h}\bar{k}\bar{l}}|^2$$

Furthermore:

$$\phi_{\bar{h}\bar{k}\bar{l}} = -\phi_{hkl}$$



Dispersion

- Scattering is the result of an interaction of electromagnetic radiation with an electron.
 - Rayleigh or elastic scattering
 - Compton or inelastic scattering
- Dispersion occurs when electromagnetic radiation interacting with an electron in a shell has nearly the same frequency as the oscillator, ie resonates

$$\frac{d^2 \bar{x}_j}{dt^2} + \kappa_j \frac{d\bar{x}_j}{dt} + \omega_j \bar{x}_j = -\frac{e}{m} \bar{E}_0 e^{i\omega_0 t - i2\pi \bar{k}_0 \cdot \bar{r}_j}$$

$$\bar{x}_j = \frac{e}{m\omega_0^2} \frac{1}{1 - \frac{\omega_j^2}{\omega_0^2} - i\frac{\kappa_j}{\omega_0}} \bar{E}_0 e^{i\omega_0 t - i2\pi \bar{k}_0 \cdot \bar{r}_j}$$

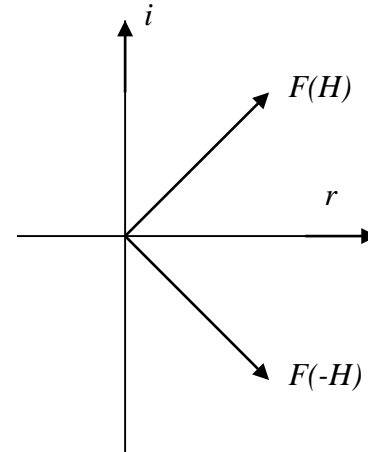
$$f = \sum_j \frac{\varphi_j}{1 - \frac{\omega_j^2}{\omega_0^2} - i\frac{\kappa_j}{\omega_0}} = \sum_j \varphi_j \int_{\omega_j}^{\infty} \frac{w_j d\omega}{\omega_j \left(1 - \frac{\omega_j^2}{\omega_0^2} - i\frac{\kappa_j}{\omega_0}\right)} = f^0 + \sum_j \varphi_j (\xi_j + i\eta_j) = f^0 + f' + if''$$

Effect on Diffraction Data

- Form factor

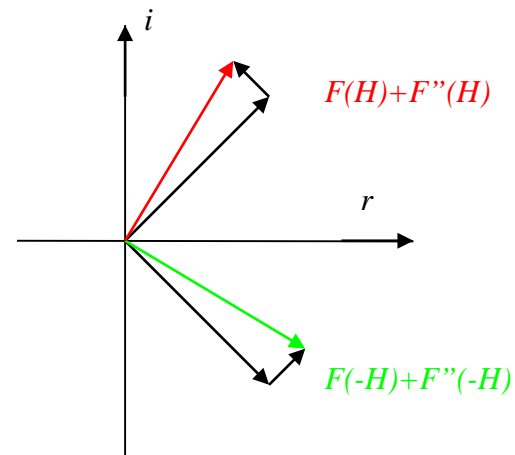
$$f = f^0 + \Delta f' + i\Delta f''$$

$$f = f^0 + f' + if''$$



- Structure Factor

$$F_{hkl} = \sum_j (f_j^0 + f_j' + if_j'') \cdot e^{2\pi i \mathbf{h} \cdot \mathbf{r}_j}$$



Breakdown of Freidel's Law

$$F_{hkl} = \sum_j f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

$$F_{hkl} = \sum_{j \neq A} f_j e^{2\pi i(hx_j + ky_j + lz_j)} + \left[(f_A^0 + f' + if'') e^{2\pi i(hx_A + ky_A + lz_A)} \right]$$

$$F_{\bar{h}\bar{k}\bar{l}} = \sum_j f_j e^{2\pi i(\bar{h}x_j + \bar{k}y_j + \bar{l}z_j)} + \left[(f_A^0 + f' + if'') e^{2\pi i(\bar{h}x_A + \bar{k}y_A + \bar{l}z_A)} \right]$$

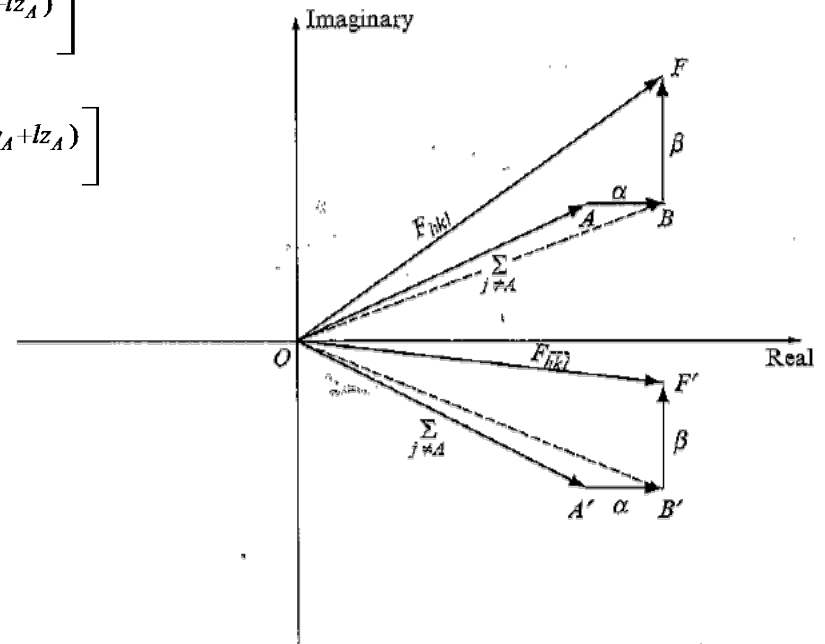
$$F_{hkl}^* = \sum_j f_j e^{-2\pi i(hx_j + ky_j + lz_j)} + \left[(f_A^0 + f' + if'') e^{-2\pi i(hx_A + ky_A + lz_A)} \right]$$

$$F_{hkl}^* \neq F_{\bar{h}\bar{k}\bar{l}} \text{ and thus } |F_{hkl}| = |F_{hkl}^*| \neq |F_{\bar{h}\bar{k}\bar{l}}|$$

$$I_{hkl} \neq I_{\bar{h}\bar{k}\bar{l}} \text{ and } |F_{hkl}|^2 \neq |F_{\bar{h}\bar{k}\bar{l}}|^2$$

Furthermore:

$$\phi_{\bar{h}\bar{k}\bar{l}} \neq -\phi_{hkl}$$



Absorption

- Absorption is another resonance effect and is related to dispersion by the equation

$$\mu_0 = \frac{4\pi N e^2}{m \omega c} f''$$

Systematic Absences (I)

Consider a body centered lattice. For a given atom at coordinates (x, y, z) there will be a second atom at $(x + 1/2, y + 1/2, z + 1/2)$ and F_{hkl} becomes

$$F_{hkl} = \sum_j^{j=N/2} \left(f_j e^{2\pi i(hx_j + ky_j + lz_j)} + f_j e^{2\pi i[h(x_j + 1/2) + k(y_j + 1/2) + l(z_j + 1/2)]} \right)$$

$$F_{hkl} = \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)} (1 + e^{\pi i(h+k+l)})$$

If $h + k + l$ is even: $e^{\pi i(h+k+l)} = 1$ but

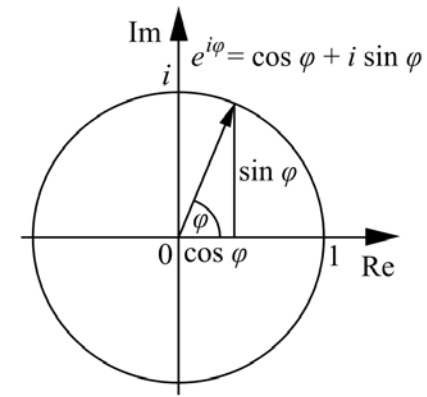
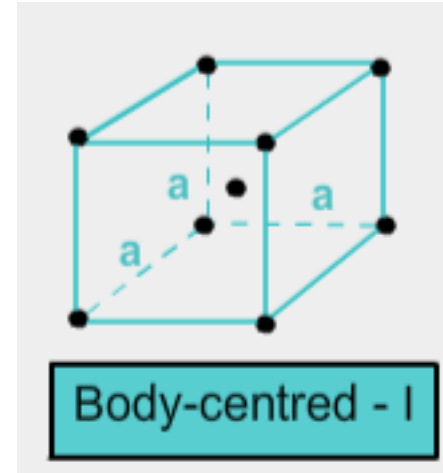
if $h + k + l$ is odd: $e^{\pi i(h+k+l)} = -1$

For $h + k + l = 2n$:

$$F_{hkl} = \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)} (1 + 1) = 2 \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

For $h + k + l = 2n + 1$:

$$F_{hkl} = \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)} (1 + (-1)) = 0$$



Systematic Absences (II)

Let's consider a 2_1 screw axis. For a given atom at coordinates (x, y, z) there will be a second atom at $(-x, y + 1/2, -z)$ and F_{hkl} becomes

$$F_{hkl} = \sum_j^{j=N/2} \left(f_j e^{2\pi i(hx_j + ky_j + lz_j)} + f_j e^{2\pi i[h(-x_j) + k(y_j + 1/2) + l(-z_j)]} \right)$$

For $h = 0$ and $l = 0$

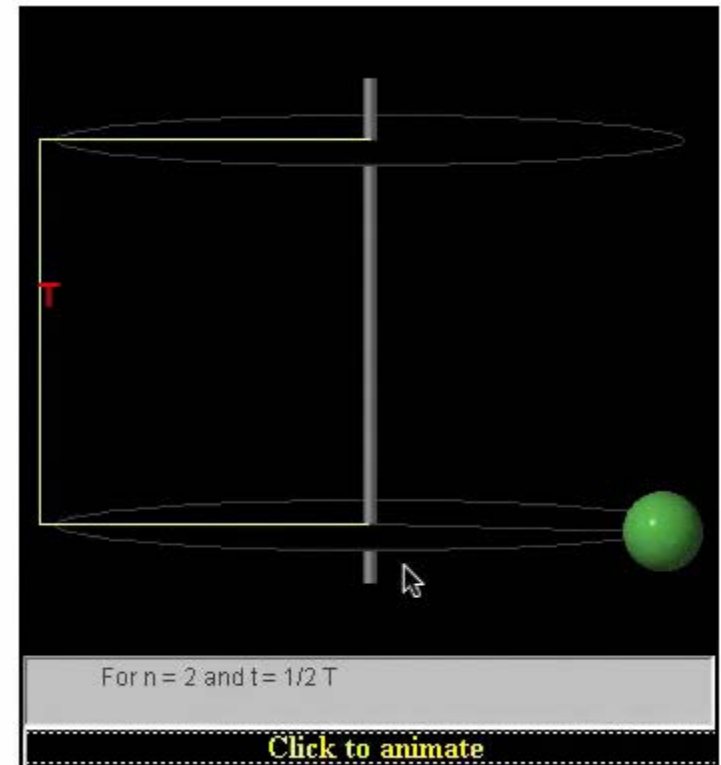
$$F_{hkl} = \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)} (1 + e^{\pi i k})$$

When k is even $e^{\pi i k} = 1$, thus:

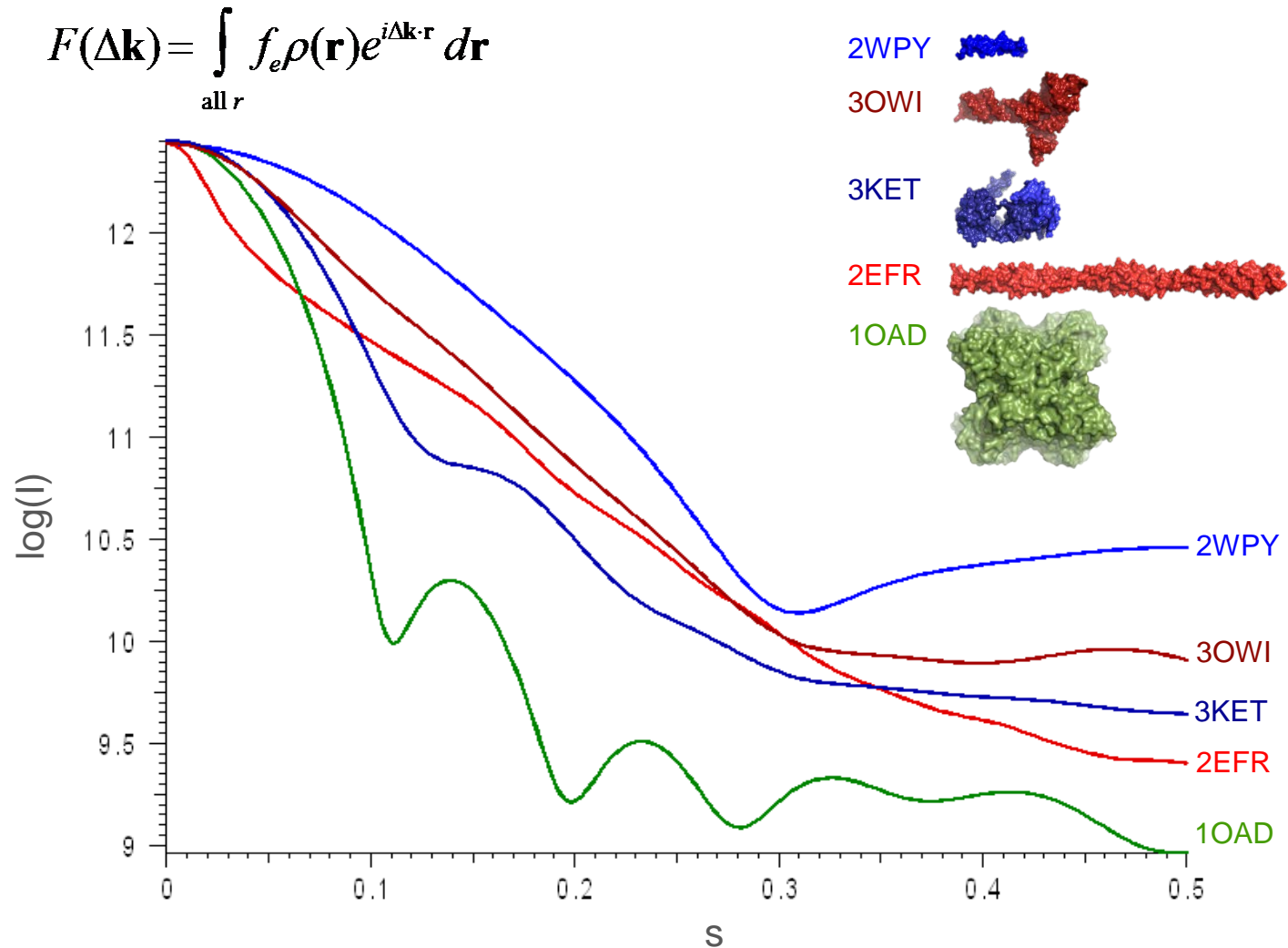
$$F_{hkl} = \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)} (1 + 1) = 2 \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

For $h = 0$ and $l = 0$, when k is odd: $e^{\pi i k} = -1$, thus

$$F_{hkl} = \sum_j^{j=N/2} f_j e^{2\pi i(hx_j + ky_j + lz_j)} (1 + (-1)) = 0$$



Small Angle Scattering is an Example of Scattering by a Motif (II)



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